



# Evaluation of Non-Alcoholic Fatty Liver Disease (NAFLD) in Obese Adult Volunteers According to Increasing Insulin Resistance and Loss of Glucose Control

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## Abstract

Our study investigated non-alcoholic fatty liver disease in obese adult volunteers in accordance to glucose metabolism and insulin resistance. This observational study was conducted in obese adult volunteers (body mass index of at least 28 kg/m<sup>2</sup>), stratified in 3 groups: diagnosis of type 2 diabetes mellitus, normal glucose tolerance, and impaired glucose tolerance. Liver fat content and stiffness were measured using transient elastography. Indices for fatty liver disease and insulin resistance were calculated based on clinical and laboratory measures. A total 301 volunteers were recruited, 109 of which had a diagnosis of type 2 diabetes mellitus. Based on the HOMAIR score for insulin resistance, 21% participants were categorized as insulin sensitive and 79% as insulin resistant. Overall, 84% had a liver fat content above 5%. The proportion of participants with a liver fat content of more than 33%, indicating severe fatty liver disease, was significantly higher in the participants with insulin resistance (73.7%) than in insulin-sensitive participants (56.3%,  $p < 0.01$ ). Liver fat content correlated with the HOMAIR ( $r = 0.3958$ ;  $p < 0.0001$ ). In line with published data, our results confirm a prevalence of around 80% for non-alcoholic fatty liver disease in overweight adult subjects. An increase in liver fat and stiffness was found in line with deteriorating glucose control and increasing insulin resistance, even in the pre-diabetic stage.

**Keywords:** Obesity; Insulin resistance; Non-alcoholic fatty liver disease

**Abbreviations:** NAFLD: Non-Alcoholic Fatty Liver Disease; PDFF: Proton-Derived Fat Fraction; ANOVA: Analysis of Variance; NFS: NAFLD Fibrosis Score; kPA: Kilopascal; CAP: Controlled Attenuation Parameter; AST: Aspartate Aminotransferase; ALT: Alanine Aminotransferase; gamma-GT: Gamma Glutamyl Transpeptidase

## Introduction

Obesity, non-alcoholic fatty liver disease (NAFLD), insulin resistance, and the metabolic syndrome share many causal pathways in their genesis and represent important risk factors in the development of cardiometabolic complications. NAFLD is characterized by excessive triglyceride accumulation in the liver tissue, exceeding 5% of the total liver mass. NAFLD is also considered as the hepatic manifestation of the metabolic syndrome, covering central obesity, insulin resistance, hypertension, dyslipidemia, and inflammation [1-3]. Notably, compared to overall visceral adipose tissue, liver fat is suggested to be even a stronger driver of cardiovascular comorbidities [4,5].

Moreover, NAFLD was found to be associated with endothelial dysfunction [6] and unstable coronary plaques [7]. Not all obese subjects develop NAFLD, but obesity is considered an important risk factor for NAFLD [8].

In the western world, NAFLD has become the most common cause of liver injury and is recognized as a predictor of hepatic and cardiovascular morbidity and mortality [9]. The prevalence of NAFLD consistently increases in parallel to the spread of obesity and type 2 diabetes mellitus [2]. It is estimated that in western societies, one in three adults and one in ten children is affected by NAFLD [10]. In specific risk populations, such as severely obese

persons or patients with type 2 diabetes mellitus, the prevalence of NAFLD is as high as 90% and 70%, respectively [11,12]. In case of aggravating hepatic inflammation and fibrosis, 30%-40% of the cases with NAFLD progress to non-alcoholic steatosis hepatitis (NASH), which further increases the risk of liver cirrhosis or the development of hepatic cellular carcinoma. The aim of our study is to investigate the incidence and progression of fatty liver disease in overweight and obese adult volunteers related to increasing insulin resistance and deteriorating glucose control. The data presented here are baseline data of an ongoing prospective study.

## Methods

### Patients and study design

The data presented here are baseline data from overweight and obese individuals of an ongoing epidemiological study performed at the CRS study sites in Mannheim and in Berlin, Germany. The study was performed in accordance with the declaration of Helsinki. The study was reviewed by the local ethical review board and was registered in the German registry of clinical studies (DKRS, registration number DRKS00017516).

All participants had given written informed consent prior to any procedures. Main inclusion criteria were age 18 to 80 years and BMI above 28 kg/m<sup>2</sup>. Main exclusion criteria involved diabetes mellitus type 1, maltose-malabsorption, acute gastrointestinal disease, gastrointestinal resection, and systemic treatment with corticoids. Study participants arrived at the study sites in the morning after being fasted overnight for at least ten hours. A fasting blood sample was taken from all study participants for the measurement of glucose, insulin, aspartate aminotransferase (AST), alanine aminotransferase (ALT), gamma glutamyl transpeptidase (gamma-GT), triglycerides, thrombocytes, and albumin. Insulin, aspartate aminotransferase (AST), alanine aminotransferase (ALT), gamma glutamyl transpeptidase (gamma-GT), triglycerides, and albumin were in serum and fasted glucose in sodium fluoride (NaF) plasma were analyzed with Cobas® 6000 analyzers (Roche, Germany). Thrombocytes were analyzed in EDTA blood using a Sysmex XN-1000 blood counter (Sysmex Deutschland GmbH, Germany).

Liver fat and stiffness was measured in all participants using transient elastography (FibroScan®, Echosens, Paris, France). The device transmits a mechanical vibration to the tissue and induces elastical shear-wave propagation which is tracked by pulse echo ultrasound signals at a measuring depth of 2.5 to 6.5 cm. The FibroScan® device evaluates the liver fat content given by the controlled attenuation parameter (CAP) and expressed as decibel per meter (dB/m) and liver stiffness given as the Young's modulus (E) and expressed as kilopascal (kPa) [13,14]. At least ten reliable and valid measurements were taken with either the M or XL probe by specially trained staff members.

### Clinical and biomarker scores

Several clinical/laboratory scores were calculated as predictive

markers for the evaluation of liver function and integrity. The fatty liver index (FLI) is predominantly reflects liver steatosis, the AST/ALT ratio, the BARD score, and the NAFLD fibrosis score are more indicative for liver inflammation and fibrosis [2].

The FLI is calculated based on the laboratory markers triglycerides and gamma-GT and the body composition markers BMI and waist circumference [15] according to the formula

$$FLI = e^y \text{ divided by } (1 + e^y) \times 100,$$

where e is the Euler's number 2.71828 and y is  $0.953 \times \ln(\text{triglycerides, mg/dL}) + 0.139 \times \text{BMI, kg/m}^2 + 0.718 \times \ln(\text{GGT, U/L}) + 0.053 \times \text{waist circumference, cm} - 15.745$  [2].

An FLI <30 is considered as a low, 30 to 59 as an intermediate, and  $\geq 60$  as a high indicator for steatosis hepatitis. The simplest score to predict liver fibrosis is the AST to ALT ratio (AST/ALT), with a value  $\geq 0.8$  considered indicative of liver fibrosis.

The NAFLD fibrosis score (NFS) is a composite score for the prediction of liver fibrosis including the variables age, hyperglycemia/diabetes, BMI, platelet count (thrombocytes), the AST/ALT ratio (AAR), and albumin [16,17]. It is calculated according to the formula

$$NFS = -1.675 + (0.037 \times \text{Age [years]}) + (0.094 \times \text{BMI [kg/m}^2\text{]}) + [1.13 \times \text{IFG/diabetes [yes= 1, no=0]}] + (0.99 \times \text{AST/ALT ratio}) - (0.013 \times \text{thrombocytes [x10}^9\text{/L]}) - (0.66 \times \text{albumin [g/dL]}).$$

A NAFLD score below -1.455 is considered to represent no or mild fibrosis, a score between -1.455 and 0.675 moderate, and a score above 0.675, severe fibrosis. A further score for the prediction of liver fibrosis in patients with NAFLD is the BARD score [18]. It is a composite score combining the risk factors BMI, ratio of liver transaminases (AAR), and diabetes. A BARD score of 0 – 1 indicates a low risk of advanced fibrosis, scores of 2 – 4 indicate a high risk.

For the assessment of insulin resistance, the HOMAIR was calculated from the fasting glucose and insulin concentration using following the formula

$$HOMAIR = \text{fasting insulin } [\mu\text{U/mL}] \times \text{fasting glucose [mg/dL]} \text{ divided by } 405 \text{ (19).}$$

Participants without a diagnosis of type 2 diabetes mellitus underwent a standardized oral glucose tolerance test. After a 10-hour fasting period, they drank 300 mL glucose solution containing 75 g glucose (Accu-Chek® Dextrose O.G-T.) within 5 minutes. Blood samples were collected before and 60 and 120 min after intake of the solution. Participants were stratified according to their results in normal glucose tolerance (NGT, 2-h glucose below 140 mg/dL/7.8 mmol/L) or impaired glucose tolerance (IGT, glucose ranging from 140 mg/dL (7.8 mmol/L) to 199 mg/dL (11.0 mmol/L). A value of 200 mg/dL or higher would have diagnosed diabetes mellitus.

### Statistical analysis

For statistical comparisons between study groups and other variables of interest (e.g., HOMAIR and Fibrosis categories), the type of analysis was chosen based on the type of data (numerical / categorical) and number of groups to be compared. If more than two groups were compared regarding a numerical variable, 95% confidence intervals of the numerical variables by group were added to the summary statistics. An overall influence of the grouping variable was assessed by the p-value of the F-test of a simple analysis of variance (ANOVA) model with the grouping variable as the single independent variable. Pairwise differences were assessed by calculating the least square mean difference including 95% confidence interval. Statistical significance of differences was assessed by using the p-value of the corresponding t-test.

If two groups were compared regarding a numerical variable, 95% confidence intervals of the numerical variables by group were added to the summary statistics. Groups were compared by calculating arithmetic mean difference, parametric 95%-confidence interval, and the p-value of the corresponding t-test. If two or more groups were compared regarding a binary variable, groups were compared overall and pairwise by using a Chi-square test.

Furthermore, two linear regressions were performed with liver stiffness and liver elasticity as dependent variables and HOMAIR, AST/ALT ratio, FLI and NFS as independent variables. Pearson correlation coefficients were calculated for all variables with a p-value < 0.05 in the regression analyses. For group comparisons, raw p-values are given. A p-value < 0.05 was considered as statistically significant. P-values were not adjusted for multiplicity.

### Results

#### Demographic data and baseline characteristics

In total, 301 men and women participated in this cross-sectional study. Altogether 109 had a prior diagnosis of T2DM, average time since diagnosis was 9.8 years. The 192 non-diabetic participants were stratified as NGT (150) and IGT (42) according to the result of an oral glucose tolerance test. Between the groups, there were significant differences in age. Participants with T2DM were older than participants in the NGT (14 years, p < 0.0001) and IGT (7 years, p=0.008) groups. Participants in the NGT group were in average 7 years younger than participants in the IGT (p=0.0013). Participants with T2DM also had higher BMI and larger waist circumference compared to NGT and IGT. The clinical characteristics of the study participants according to their metabolic categorization are given in Table 1.

**Table 1:** Clinical characteristics according to metabolic status (mean±SD)

	Normal Glucose Tolerance	Impaired Glucose Tolerance	Participants with Type 2 Diabetes Mellitus
N	150	42	109
Male (%)	60.70%	47.60%	61.50%
Age (years)	47.7±12.5	54.4±14.4	61.8±9.8
Time since diagnosis of diabetes (years)			9.8±6.9
BMI (kg/m <sup>2</sup> )	31.6±2.6	32.6±3.5	33.9±3.7
Waist Circumference (cm)	103.7±8.7	106.2±11.4	114.0 ±10.3
AST [U/L]	26.0±9.41	24.4±6.48	26.2±11.14
ALT [U/L]	31.8±20.16	30.5±15.71	33.2±17.07
Fasting glucose (mmol/L)	5.485±0.4653	5.751±0.6928	8.909±2.5515
Fasting insulin (mU/L)	11.08±5.564	13.45±6.406	17.41±12.512
HOMAIR	2.7±1.5	3.5±1.9	6.9±5.1
HOMA-index < 2	52 34.9%	7 17.1%	6 5.5%
HOMA-index >= 2	97 65.1%	34 82.9%	103 94.5%

#### Glucose metabolism characteristics

Of the total study population, 21% participants were categorized as insulin sensitive (HOMAIR < 2) and 79% as insulin resistant (HOMAIR ≥ 2.0). In T2DM, the proportion of participants with HOMAIR equal or above 2 was highest (94.5%), compared to NGT (65. %) and IGT (82.9%).

#### Analysis based on glucose tolerance

Transient liver elastography parameter CAP and of the composite index FLI both show marked increase of fatty liver in participants with T2DM. Mean values for CAP increased with decreasing glucose tolerance, T2DM presented with significantly higher values than the two non-diabetic groups. Consistent with

the increase in CAP, the average FLI score was also significantly higher ( $p < 0.0001$ ) in the T2DM group. Participants with T2DM had significantly highest risk for fatty liver ( $p < 0.0001$ ), with

97.2% having FLI indices high risk of fatty liver. The risk was not significantly different between NGT and IGT (Table 2).

**Table 2:** Liver biomarkers in the different glucose control cohorts.

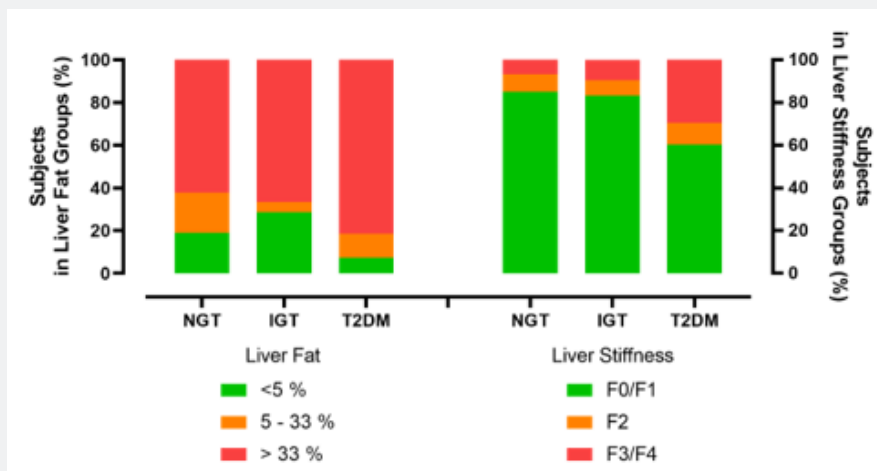
	NGT	IGT	p NGT vs IGT	T2DM	p NGT vs T2DM	p IGT vs. T2DM
<b>Transient liver elastography</b>			<b>P-Value</b>		<b>P-Value</b>	<b>P-Value</b>
CAP (dB/m)	277 (268; 285)	284 (262; 307)	0.46	324 (312; 336)	< 0.0001	<0.01
E (kPa)	6.38 (4.76; 8.01)	5.45 (4.40; 6.50)	0.52	8.64 (7.25; 10.03)	< 0.05	< 0.05
Clinical Scores						
FLI	69.0 (65.9; 72.2)	73.3 (66.6; 80.0)	0.17	86.8 (84.4; 89.2)	< 0.0001	<0.0001
High risk FLI	65.80%	73.20%	0.5016	97.20%	<.0001	<.0001
AST/ALT Ratio	0.96 (0.90; 1.01)	0.91 (0.82; 1.01)	0.43	0.86 (0.80; 0.91)	< 0.05	0.32
AST/ALT-ratio $\geq 0.8$	71.10%	63.40%	0.3414	59.60%	0.0534	0.6726
NFS	-2.15 (-2.3; 2.0)	-1.74 (-2.10; -1.39)	< 0.05	-0.27 (-0.49; -0.05)	< 0.0001	<0.0001
F0 - F2	74.50%	51.20%	0.0044	16.70%	<.0001	<.0001
Indeterminate score	25.50%	48.80%		63.00%		
F3 - F4	0.00%	0.00%		20.40%		
BARD score indicating high risk of advanced fibrosis	71.10%	63.40%	0.3414	97.20%	<.0001	<.0001

Data presented as: mean (95% confidence intervals) / percentage of participants

NGT: Normal Glucose Tolerance; IGT: Impaired Glucose Tolerance; T2DM: Type 2 Diabetes mellitus; FLI: Fatty Liver Index; NFS: Non-Alcoholic Fatty Liver Disease Fibrosis Score

A larger proportion of participants with a diagnosis of T2DM had liver fat content greater than 33% or liver stiffness indicating fibrosis (F3/F4; Figure 1). The percentage of participants with a liver fat content of more than 33% according to the transient liver elastography [20] was significantly higher in the T2DM group (82%) compared to the NGT group (62%,  $p < 0.0001$ ) and in the IGT group (67%,  $p < 0.0001$ ). Transient liver elastography parameter E showed highest liver stiffness in the T2DM group and was significantly ( $p < 0.05$ ) higher than in the NGT or IGT group

(Table 2). The percentage of participants with a severe increase in liver stiffness categorized as F3 or F4 based on elastography measurement [21] was significantly higher in the T2DM group (29.6%) compared to the NGT group (6.8%,  $p < 0.0001$ ) and the IGT group (9.5% in IGT,  $p < 0.0001$ ) (Figure 1). Applying the BARD score, the proportions of participants at high risk for advanced liver fibrosis was highest in the T2DM group (97%) compared to the NGT (71%,  $p < 0.0001$ ) and to the IGT (63%,  $p < 0.0001$ ).



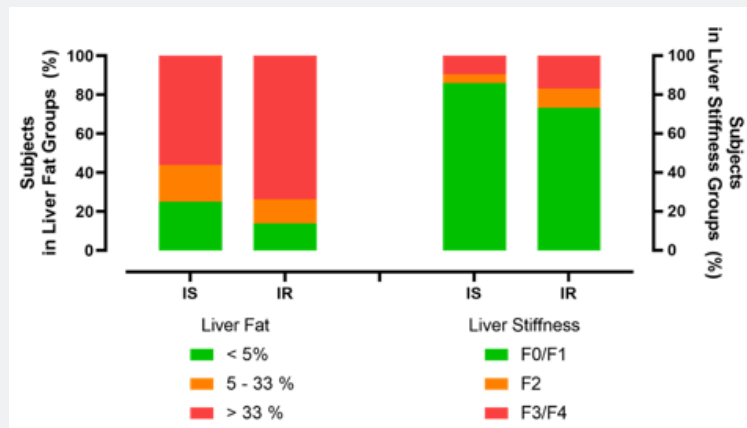
**Figure 1:** Proportions of participants with liver fat below 5%, 5% to 33%, and above 33% and liver stiffness indicating mild fibrosis (F1/F2), moderate fibrosis (F3) or severe fibrosis (F3/F4) according to the categorization of glucose control or type 2 diabetes mellitus (T2DM).

The AST/ALT ratio was significantly higher in T2DM group compared to NGT and IGT ( $p < 0.0001$ ), whereas the proportion of participants with an AST/ALT ratio equal or greater than 0.8 indicating high risk of fibrosis was not significantly different between the groups. NFS scores showed significantly higher mean values in the T2DM group compared to both NGT and IGT ( $p < 0.0001$ ), and in the IGT group compared to the NGT group ( $p < 0.05$ ). The NFS score indicated severe fibrosis (F3) or cirrhosis (F4) in 20. % of the participants in the T2DM group.

### Analysis based on insulin resistance

Results of transient elastography were also analyzed applying

the categories of insulin resistance (HOMAIR). In participants with insulin resistance the proportion with liver fat content greater than 33% or increased liver stiffness was visibly larger than in participants sensitive to insulin (Figure 2). The CAP-value as a marker for liver fat content was significantly higher in insulin-resistant individuals with 304.0 dB/m (95% CI: 295.8; 312.2) compared to 260.5 dB/m (95% CI: 247.4; 273.5) in insulin-sensitive individuals ( $p < 0.0001$ ). The proportion of participants with a liver fat content of more than 33% was significantly larger in insulin-resistant participants (73.7%) than in insulin-sensitive participants (56.3%,  $p < 0.01$ ).



**Figure 2:** Proportions of participants with liver fat below 5%, 5% to 33%, and above 33% and liver stiffness indicating mild fibrosis (F1/F2), moderate fibrosis (F3) or severe fibrosis (F3/F4) according to insulin resistance

In insulin-resistant participants, liver stiffness was significantly higher (7.60 kPa [95% CI: 6.39; 8.81]) compared to 5.13 kPa (95% CI: 4.28; 5.98) in insulin-sensitive participants ( $p = 0.04$ ). Also, pronounced liver stiffness (F3 or F4) was significantly more frequent in insulin-resistant (16.8%) than in insulin-sensitive participants (9.4%;  $p < 0.05$ ). Multivariate regression analysis revealed correlation between liver fat (CAP) with HOMA ( $r = 0.3958$ ;  $p < 0.0001$ ) and the FLI ( $r = 0.4133$ ;  $P < 0.0001$ ).

### Discussion

In this cross-sectional analysis from 301 overweight or obese participants transient elastography identified 83.9% of the participants with a fat content above 5% as indicative for NAFLD. In line with published data, our results confirm a prevalence of around 80% for NAFLD in participants with a BMI > 28 kg/m<sup>2</sup> [22-24].

Prevalence of NAFLD was highest in obese participants with manifest T2DM. Categorizing the participants included in the study according to their glucose control, the incidence of NAFLD increased from 81.1% of participants with NGT or 71.4% in

participants with IGT to 92.6% of participants with a diagnosis of T2DM. Severe steatosis hepatis (defined as a fat content above 33%) was found in 62.2% of participants with NGT, 66.7% of participants with IGT and in 69.8% in T2DM.

Liver stiffness significantly increased in T2DM compared with the NGT and the IGT group. No difference in mean liver stiffness could be observed between the NGT and the IGT group. The proportion of patients with elevated liver stiffness increased from 14.9% in NGT, to 16.6% in IGT, and to 24.1% in T2DM. Because common hepatic laboratory markers are weak for detection of liver steatosis, a couple of scores combining clinical and laboratory measures have been developed and validated for NAFLD screening in larger patient populations. The FLI is a score developed the prediction of liver steatosis comprising triglycerides, BMI, and the waist circumference [25]. In our patients with T2DM, the FLI was significantly higher compared to the NGT and IGT group. Linear regression analysis revealed an association between the FLI and liver fat content as expressed by liver elastography. In contrast to the FLI used as an indicator for steatosis, the AST/ALT ratio, the NFS, and the BARD score are predictive for liver fibrosis [18,22]. In this cross-sectional study, the AST/ALT ratio was significant



lower in T2DM compared to NGT, but not compared to the IGT group. The NFS and the BARD score as indices for liver fibrosis significantly worsened from the NGT over the IGT and the T2DM group.

Insulin resistance appears to play an essential role in the development and progression of NAFLD, and vice versa [3,23]. In obese NAFLD individuals without known T2DM, an overall downregulation of insulin signaling genes have been shown compared to lean and obese controls without liver steatosis [24]. In liver biopsies from individuals with NAFLD, gene expression analysis revealed a shift in the ratio of insulin receptor subtype A and B with important implications on intracellular insulin signaling [26,27]. Despite most overweight participants included in our study were categorized as insulin resistant according to the HOMAIR score, 22% of the participants were overweight or obese with sustained insulin sensitivity. Liver steatosis and liver stiffness was significantly higher in insulin resistant compared to insulin sensitive individuals and the HOMAIR score showed a close correlation to the liver fat fraction as measured with transient elastography.

There are some important limitations which need to be considered. Liver fat content and liver stiffness were measured with transient elastography and not with MRI or liver biopsies. In the recent years, transient liver elastography has become a widely used and established method for the assessment of NAFLD and NASH [14,20,28]. The comparability with the histological assessment of liver biopsies or the quantification of liver fat and fibrosis using magnetic resonance tomographic based methods like proton-derived fat fraction (PDFF) is still matter of dispute. The participants with T2DM and the participants with IGT were older compared with the participants in the NGT group. Even we cannot rule out an effect of aging on liver fat or liver stiffness in our study population, previous studies did not show a linear relationship between NAFLD and age. Some previous studies even reported a decrease in the prevalence of NAFLD with increasing age [29,30].

The data included in this manuscript represent markers from a cross-sectional approach that do not allow conclusions on the course of the different liver indices over time. The participants included in this observational survey will be followed on an annual basis, which might allow us to get a better understanding in the progress of these biomarkers over time.

### Conclusion

In conclusion, our data have shown that more than three out of four people with a BMI above 28 kg/m<sup>2</sup> have an increased liver fat content as defined of more than 5% of liver tissue and 1 participant out of 4 had increased liver stiffness as an indicator for liver fibrosis. Liver fat content and liver stiffness in overweight individuals increase over a wide continuum of insulin resistance and declining glucose control.

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### Author Contributions

Thomas Forst was involved in creating study design, performance of the study, and the preparation of the manuscript.

Sybille Baumann, Matthias Berse, Isabel Botz, Armin Schultz, and Mares-Elaine Strempler were involved in conducting the study and the manuscript preparation.

Stephan Voswinkel was involved in the analysis of the laboratory samples and the preparation of the manuscript.

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