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Application of GastroPlus[™] Modeling in Product Development: A Case Study for a Low-Dose Poorly Water-Soluble Compound



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Abstract

In this paper, GastroPlus™ modelling was applied to the development of a low-dose poorly water-soluble compound. The initial input parameters for simulation of solution were based on solubility, log D, permeability, and the dose together with dose volume of 250 ml. Typical physiology input parameters were used in simulation. Simulated curves were compared with clinical data for solution, and input parameters were optimized. Using the optimized input parameters from solution, simulated AUC curves of tablets of various strength (1mg, 3mg, and 5mg) were obtained and compared with solution AUC curves as well as clinical AUV curves, from which PK parameters were derived. Predictability of absorption of various dose was discussed for both tablet and solution. Furthermore, impact of dose, pH, and food effect on absorption was explored based on the simulated data. Overall, this case study demonstrated the use of GastroPlus™ in the drug development for a low-dose compound.

Keywords: GastroPlus[™]; Tablet; Absorption; Predictability; Dose effect; pH effect; Food effect

Abbreviations: BCS: Biopharmaceutical Classification System; PK: Pharmacokinetic; PAMPA: Parallel Artificial Membrane Permeability Assay; FIH: First-In-Human; AUC: Area Under Curve; PVDF: Polyvinylidene Fluoride; ASF: Absorption Scaling Factor

Introduction

Pharmacokinetic (PK) modelling plays increasingly important roles in developing drug products [1]. Especially, when physiology-based PK modelling is combined with understanding of disease states (pharmacodynamic), GastroPlus™ modelling can significantly expedite product development and consequently result in considerable saving of development cost [2,3]. For instance, PK modelling has been shown to increase the success rate of drug candidates [4,5]. Specifically, to support and accelerate clinical studies, PK modelling are used to predict bioavailability in human and provide feedback for improving product design and process development; for example, the effect of particle size and thereby dissolution on drug bioavailability can be modelled with GastroPlus™ to greatly accelerate product development [6]. In this paper, we would like to use a case study to exemplify the usage of $GastroPlus^{\text{TM}} \ modelling \ in \ the \ development \ of \ a \ pharmaceutical$ compound (BMS-820836), through which the impact of PK modelling on the product development, correlating the predicted bioavailability with clinical data, will be demonstrated [7]. BMS-

820836, a triple-reuptake inhibitor for serotonin, norepinephrine and dopamine, was developed for treating depression [8,9]. Although the development of this compound was discontinued because of market competition from generic Cymbalta[®], the development experience of using PK modelling to ameliorate product development can provided an interesting lesson for future development of similar compounds.

BMS-820836 is a weak base with pK $_a$ values of 7.8 and 4.9. Because BMS-820836 is a weak base, its aqueous solubility is strongly pH dependent: $\sim 1 \mu g/mL$ around pH 7.0 at room temperature and increasing significantly in acidic (gastric) medium. Additionally, BMS-820836 is highly permeable, as confirmed by high parallel artificial membrane permeability assay (PAMPA): 1025 nm/s at pH 5.5 and 1106 nm/s at pH 7.4, along with significant absolute bioavailability from animals (rat, dog, and cyno). Therefore, BMS-820836 is a biopharmaceutical classification system (BCS) I/II compound, within the projected dose range ($20 \mu g$ -5mg) [10]. Since the starting dose for BMS-820836 is very low, a solution formulation was used to support the

first-in-human (FIH) study. However, for later clinical trials, tablets developed by spraying solutions of the dissolved BMS-820836 onto a common tableting powder blend were used. Because FIH was conducted using the solution formulation, a bridging study between the solution formulation and tablets was conducted to their bioavailability. Additionally, both the bioavailability of the solution formulation and tablets was simulated using GastroPlus simulation was and compared with clinical data [11].

In this paper, the results of GastroPlusTM prediction of the bioavailability, including PK parameters, for BMS-820836 solution and tablets will be presented, along with clinical data. Default physiology-based parameters were used in the initial simulation of BMS-820836 solution formulation, followed by parameter optimization based on the clinical data. The optimized input parameters were used in simulating tablet bioavailability. For all simulations, pH-solubility profile of BMS-820236, and standard permeability and diffusion parameters were utilized. Furthermore, the effect of gastric pH, doses, as well as food on bioavailability was evaluated. Finally, the predicted bioavailability (area under curve (AUC)) by modelling, together with C_{max} and T_{max} , were compared with clinical data for their consistency.

Experimental

Materials

Drug substance of BMS-820836 was provided by the department of chemical development of Bristol-Myers Squibb (New Brunswick, NJ). Citric acid monohydrate and sodium citrate were purchased from Sigma-Aldrich Corp. (St. Louis, MO). Peppermint flavor was obtained from Virginia Dare (Brooklyn, NY). Sulfobutyl-β-cyclodextrin (Captisol®) was purchased from Cydex Pharmaceuticals Inc. (Ter Lenexa, KS). Other excipients for tablet preparation, including microcrystalline cellulose (PH 102), mannitol (Pearlitol 100SD), pregelatinized starch, and Opadry II white (PVA film based), are acquired from FMC Biopolymers (Philadelphia, PA) and Colorcon Inc. (North Wales, PA), respectively. Lubricant, magnesium stearate, was purchased from Tyco Healthcare Group (Mallinckrodt Division) (St. Louis, MO). Furthermore, chemicals for buffer preparation such as hydrochloric acid, phosphoric acid, and sodium phosphate were obtained from Sigma Aldrich Chemicals (St. Louis, MO). Finally, de-ionized water produced by Mili-Q UV Plus (EMD Millipore) (Billerica, MA) was used for all preparations.

Formulation preparation

pH-solubility profile

To construct a pH-solubility profile, the saturation solubilities of BMS-820836 in the media of various pH values were determined using high performance liquid chromatography (HPLC). In general, excess amount of BMS-820836 was added to aqueous solutions of various pH values in 20ml scintillation vials. Targeted pH values of the media for solubility measurement were adjusted and controlled using hydrochloric acid, citric acid and sodium citrate buffer, as well as phosphate buffer. Then, samples of BMS-820836-03 suspensions in scintillation vials wrapped with aluminum foil

were agitated for 24 hours on a Wrist-Action shaker at room temperature. During this process, excess of solid in each vial was maintained to ensure that solubility equilibrium was reached. The pH of each sample was then measured and recorded after 24 hours. Prior to HPLC analysis, all suspension samples were filtered using $0.45\mu m$ Polyvinylidene fluoride (PVDF) membrane filters. All filtrates were analyzed by HPLC after suitable dilution with an acetonitrile-water (1:1) mixture.

Solution formulation development

The solution formulation of BMS-820836 (1mg/mL) for FIH was prepared in a compounding site by injecting 20mL of a liquid vehicle into a scintillation vial of 20mg drug. The liquid vehicle consists of 75% (v/v) of 0.1M citric acid/sodium citrate buffer (pH 3) and 25% (v/v) of Simple Syrup solution with peppermint flavor. The simple syrup solution was prepared by mixing 4 parts commercial simple syrup with 1 part of peppermint flavor solution (v/v). To prepare a reconstitution vehicle (0.1M citric acid buffer), 21g of citric acid monohydrate and 45ml of 1N NaOH were first added into a 1000ml volumetric flask followed by addition of water to 1000mL mark. Then, peppermint flavor was incorporated into the reconstitution vehicle by mixing 750ml of 0.1M citric acid and sodium citrate buffer (pH 3) and 250mL of the prepared Simple Syrup solution. Furthermore, in preparing oral solution at the starting dose 0.025mg/mL (25µg/mL), 1mg/ml solution of BMS-820836 in the reconstitution vehicle was diluted 40 times with 0.1M citric acid and sodium citrate buffer (pH 3). Both vehicles were used as placebos for oral solutions (1mg/ml and 0.025mg/mL), respectively.

Preparation of tablets

A common blend with a drug loading of 0.5% (w/w) was used to compress tablets of various strength. The common powder blend was prepared by spraying BMS-820836 solution a powder blend. In preparing a solution of BMS-820836, 63g of citric acid monohydrate was first dissolved in 2960 gram of water to prepare 0.1M citric acid solution. Subsequently, 600g of sulfobutyl-βcyclodextrin was added to above solution to form a sulfo-butyl-βcyclodextrin and citric acid solution. After, 75g of API was weighed out and dissolved in the sulfobutyl-β-cyclodextrin and citric acid solution to form the solution of BMS-820836. A powder blend, including microcrystalline cellulose, mannitol, and pre-gel starch, was first prepared by mixing these excipients in a 75L granulator (5 minutes at 100rpm). Subsequently, the common blend with 0.5% (w/w) drug loading was made by spraying the solution with dissolved drug to the powder blend (600mL/min). Having finished spaying, the water was then dried off to a loss-on-drying (LOD) value of <2% after further mixing for a few minutes. The dry blend was mixed magnesium stearate followed by compressing into various strength of tablets (0.25mg, 0.5mg and 1.0mg). Finally, the blend was compressed into tablets using a rotary tablet press and followed by film coating (Opadry II White 85F18422) in a Vector or other coater. These tablets (see Table 1 for composition) were used in bioequivalent and other clinical studies.

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Table 1: Formulation components and composition.

Ingredient	Weight Percentage (w/w)	Quantity Per Batch (g)
BMS-820836	0.5	75
Microcrystalline cellulose (PH 102)	47	7050
Mannitol (Pearlitol 100 SD)	32.58	4887
Citric acid	0.42	63
Pre-gel starch	15	2250
Sulfobutyl-β-cyclodextrin	4	600
Total intragranular	99.5	14925
Magnesium stearate	0.5	75
Total	100	15000

GastroPlus™ simulation

GastroPlus[™] is a mechanistically based simulation software which can simulate the absorption of both solution formulation and tablets through intravenous and oral dosing absorption to generate pharmacokinetic/pharmacodynamic profiles (AUC) in

humans and animals, along with PK parameters [12]. Specifically, GastroPlusTM modelling can model bioavailability of either solution formulation and tablets, according to the physiological model (see Table 2 for default input parameters), as well as solubility, log D, and other related criteria (Table 3).

Table 2: Human fasted physiology parameters (prior and after optimization) used in GastroPlus™ simulation.

Commontenant	Prior Optimization			After Optimization		
Compartment	ASF	pН	Transit time	ASF	pН	Transit Time
Stomach	0	1.3	0.1	0	1.3	0.1
Duodenum	1.247	6	0.26	0.272	6	0.26
Jejunum 1	1.33	6.2	0.95	0.336	6.2	0.94
Jejunum 2	1.488	6.4	0.76	0.509	6.4	0.74
Ileum 1	1.69	6.6	0.59	1.708	6.6	0.58
Ileum 2	1.975	6.9	0.43	1.99	6.9	0.42
Ileum 3	2.346	7.4	0.31	2.358	7.4	0.29
Caecum	1.36	6.4	4.5	1.372	6.4	4.4
Asc Colon	1.904	6.8	13.5	1.931	6.8	13.2

Table 3: Input parameters for GastroPlus™ simulation of oral solutions and tablets.

Input Parameter	Input Para	Comments	
pH for reference solubility	6.5		
	pH=2.0	15.6	
	pH=3.3	8	
	pH=4.4	1.76	pH-solubility profile at room tem-
pH-solubility profile	pH=6.0	0.01	perature was used for simulation.
	pH=6.6	0.0016	
	pH=6.8	<0.001	
	pH=7.9	<0.001	
Log D	3.8		
Initial dose	0.1mg		
Dose volume	250mL		
P _{eff}	1.5 x10 ⁻⁴		
Diffusion coefficient	0.6899x10-5		

Simulation for solution formulation

To perform GastroPlus™ simulation, the physical-chemical parameters listed Table 3 were used. Physiology-related parameters for fasted human (Table 2), including pH, transit time, absorption scaling factor (ASF) (from stomach to colon), were used. Other parameters listed (Table 3), including body weight (75kg), dosing volume (250mL), permeability and diffusion constants, and blood/plasma concentration ratio (1), together with two compartment parameters (k12=0.05176 1/h; k21=0.11573 1/h), were utilized as well. After the initial simulation, input simulation parameters were optimized based on matching simulated absorption curves with clinical data. The optimized parameters are listed Table 2, where ASFs were changed for duodenum, jejunum 1, and jejunum 2 (Table 2).

Simulation for tablets

For simulation of BMS-820836 tablets, the optimized simulation parameters (Table 2) derived through matching the simulated curves with clinical data were used. Furthermore, physical-chemical parameters listed in Table 3 were also used in the simulation of tablets as well. The simulated curves, together with PK parameters derived, are used for discussion in this paper.

Bioavailability studies

Clinical absorption of both BMS-820836 solution formulation and tablets was obtained from phase I/II clinical trials. The studies were conducted according to typical phase I and II protocols.

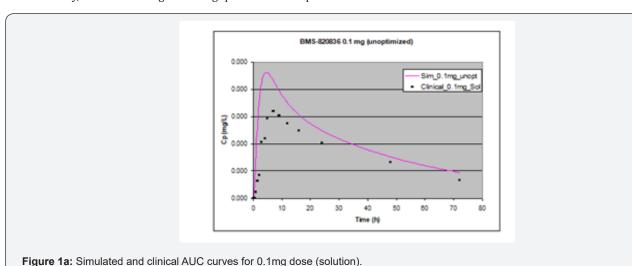
Results and Discussion

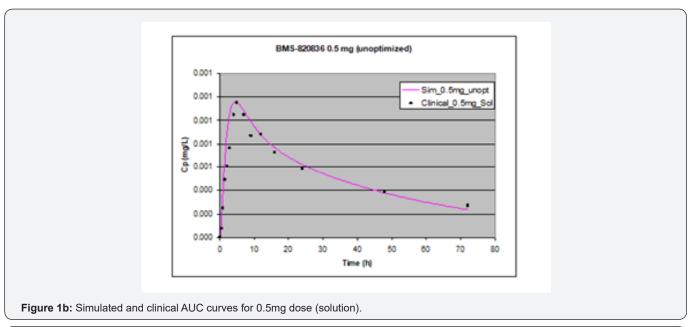
In this paper, $GastroPlus^{TM}$ simulation was used to simulate the absorption of solution and tablet formulations and predict the effect of doses, gastricpH, and food on the bioavailability of a poorly water-soluble compound-BMS-820836, thus greatly enabling the formulation and process optimization and development. Consequently, bioavailability as predicted by $GastroPlus^{TM}$, along with the influence of formulation parameters on tablet bioavailability, was able to guide drug product development

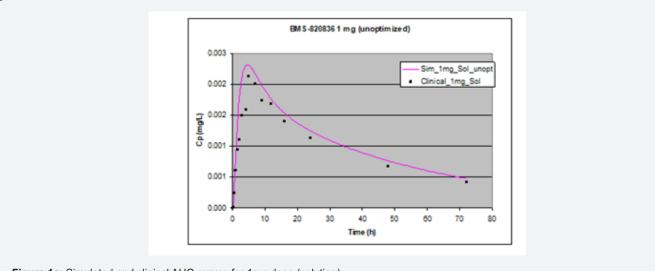
to support clinical trials. In the following text, application of $GastroPlus^{TM}$ modelling to evaluation of the effect of gastric pH, food, and dose range on absorption will be presented.

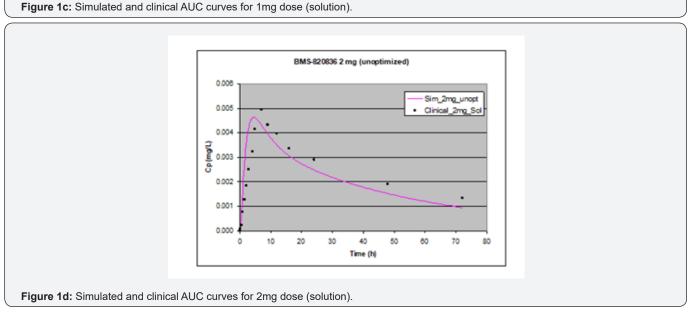
Solution simulation

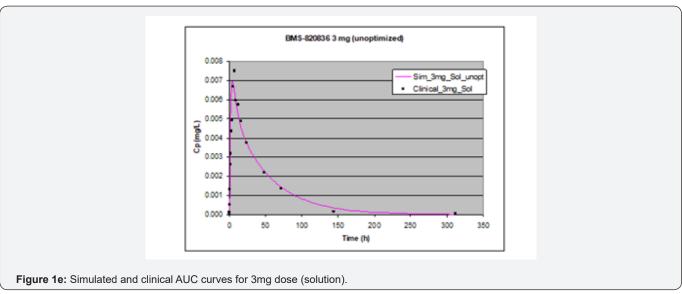
 $In simulating the bioavailability of the {\it oral} \, solution formulation$ of BMS-820836 used in FIH, the default physiology-based input parameters (See preoptimized input parameters in Table 2) were used. The simulated plasma concentration versus time curves (PCTC), also known as AUCs, are displayed in Figure 1, where solid curves represent the data from GastroPlus™ prediction, while the scattered lines exhibit the data attained from FIH. In general, the predicted bioavailability curves (AUCs), relative to the clinical data, varies with doses, in which the predicted bioavailability (AUCs) changed from overprediction at doses of 0.1mg to underprediction at doses of 3mg and 5mg, where the predicted curves are higher than those of clinical AUCs at low doses (0.1) and the predicted curves are lower than those of clinical data at high doses (3 and 5mg). So, with the initial default input parameters (preoptimization in Table 2), GastroPlus™ simulation either overpredicted or underpredicted the bioavailability of BMS-820836, depending on doses. To improve the predictability of GastroPlus™ modelling, pH and transit-time of gastrointestinal segments were optimized after matching the simulated curves with clinical AUCs (as shown in Table 2), in which transit time was generally reduced except for that in stomach. To validate the model prediction with the optimized input parameters, GastroPlus™ simulation was performed again, and these simulated curves are shown in Figure 2: Figure 2a (0.1mg), Figure 2b (0.5mg), Figure 2c (1mg), Figure 2d (2mg), Figure 2e (3mg), and Figure 2f (5mg) [13]. These figures display the AUCs of various doses, where clinical data are shown for comparison. As shown in these figures, after optimization, simulated curves are similar to those from clinical studies, which correctly predict in vivo bioavailability of BMS-820836. Subsequently, these parameters were used to simulate the bioavailability (AUC) of BMS-820836 in tablets. These results will be discussed in the next section.

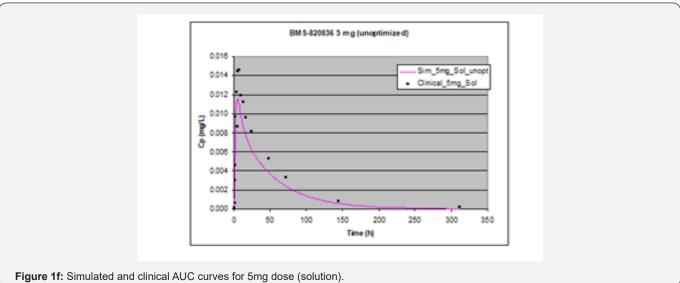


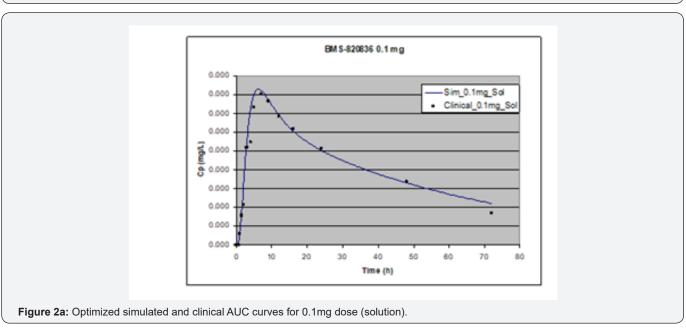


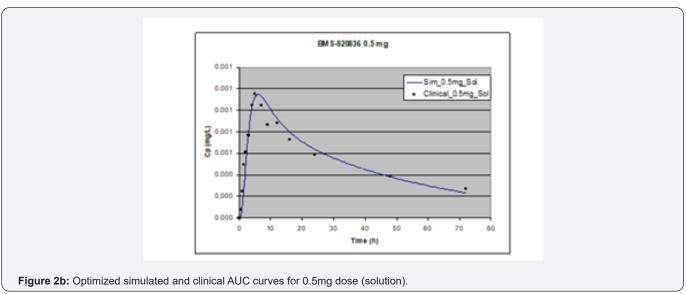


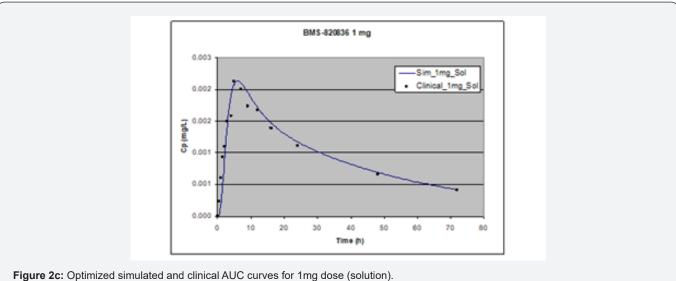


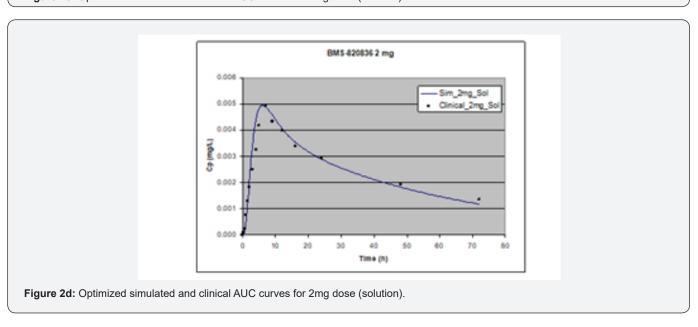




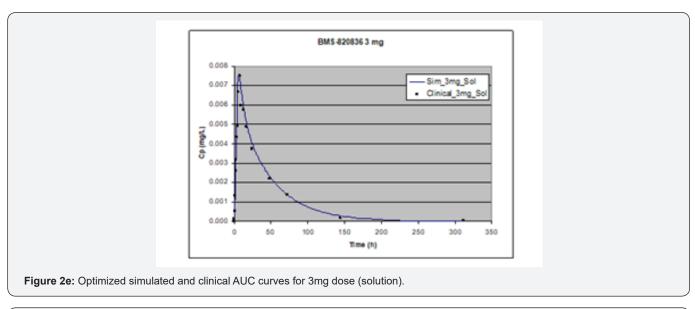


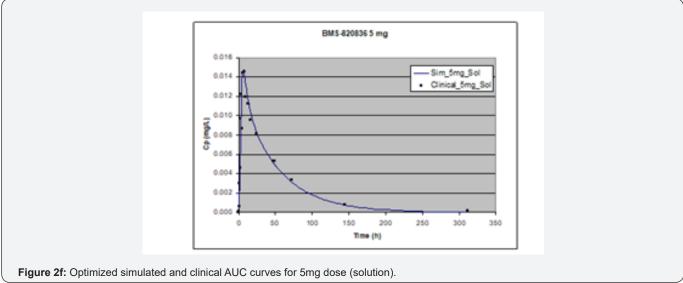






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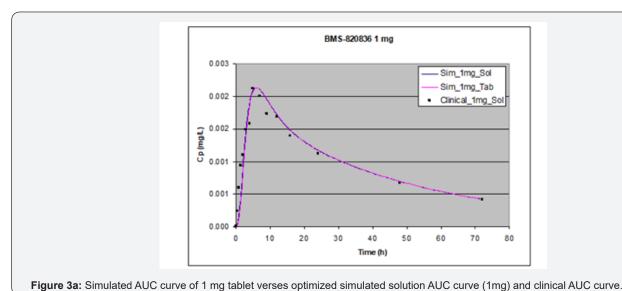
Prediction for tablets

Absorption of BMS-820836 in tablets was simulated using GastroPlusTM, with the optimized input parameters. The simulated AUCs, along with AUCs of the solution formulation and clinical data, are displayed in Figure 3. Figure 3 shows that the simulated curves for 1mg and 3mg tablets, which are similar to those from solution formulations of same doses, match very well with the clinical data while a significant discrepancy was observed for 5mg tablets. The simulated AUC of 5mg tablets is below that obtained clinically as well as that of the simulated AUC for the solution formulation. Furthermore, PK parameters derived from AUCs, both simulated and clinical AUCs, are shown in Table 4. As shown in Table 4, at 1mg dose, PK parameters such as AUC, $C_{max'}$, and T_{max} are almost equivalent between the solution formulation and tablets, also matching very well with those from clinical analysis.

Moreover, ratios of solution over tablet for all three parameters is very close to one. When increasing dose to 3mg, the disparity between tablets and the solution formulation was noted (see Table 4), particularly for C_{max} and T_{max} . This renders the ratio between solution and tablet for all three parameters varied from 1 to 1.18. Although PK parameters for 3mg dose vary between the tablets and clinical data, when compared with 1 mg dose, it can be still considered as equivalent. However, the AUC and C from the GastroPlus™ simulation of 5mg tablets are much below those from clinical data. Concerning T_{max} , the T_{max} (9.04 hours) from tablet simulation is much longer than that from the solution simulation (6 hours) and the analysis of clinical data (6.17 hours). This suggests that release of BMS-820846 in vivo is much fast than that estimated from tablet simulation (dissolution). In summary, dose can significantly affect simulation curves generated by GastroPlus™.

Table 4: PK parameters of the BMS-820836 solution formulation and tablets obtained from GastroPlus™ simulation as compared to clinical data.

Dose	PK parameter	Clinical	Solution	Tablet	Tab/Sol
1 mg	AUC (ug/L*h)	90.4	91.3	91.3	1
	Cmax (ug/L)	2.15	2.14	2.13	1
	Tmax (h)	6	6	6.16	1
3 mg	AUC (ug/L*h)	312	305	302	1
	Cmax (ug/L)	7.52	7.57	7.2	0.95
	Tmax (h)	6.67	5.9	6.96	1.18
	AUC (ug/L*h)	776	662	603	0.91
5 mg	Cmax (ug/L)	15.8	14.7	11.2	0.76
	Tmax (h)	6.17	6	9.04	1.5



BMS-820836 3 mg

0.008
0.007
0.008
- Sim_3mg_Sol
Sim_3mg_Tab
Clinical_3mg_Sol
0.004
0.003
0.000
0.001
Time (h)

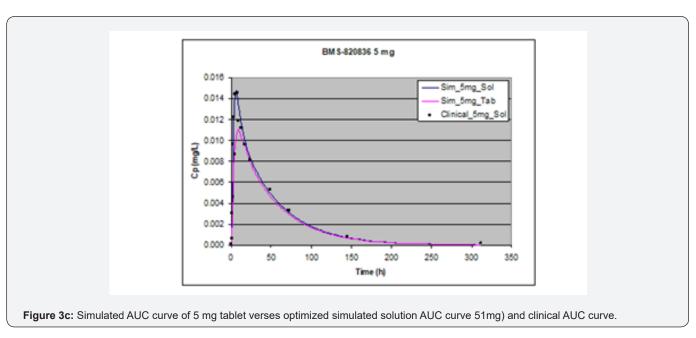
Figure 3b: Simulated AUC curve of 3 mg tablet verses optimized simulated solution AUC curve (3mg) and clinical AUC curve.

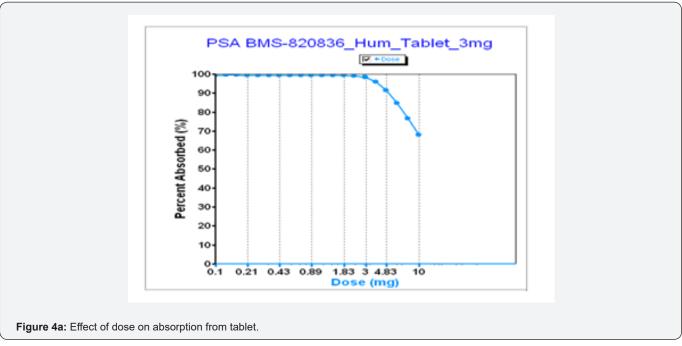
Effect of dose

As shown above, dose strength can markedly influence the bioavailability of tablets, according to $GastroPlus^{TM}$ simulation.

In developing tablets to support clinical trials, it is important to understand the impact of a dose strength on bioavailability. Figure 4a shows absorption of tablet as a function of dose where absorption drops with increasing dose for tablets. This can be explained as the absorption of BMS-8202536 tablets is limited by dissolution and solubility (see Table 3). As shown in Figure 4a, absorption starts to significantly decrease when a dose (tablet strength) is above 3mg. Once the dose is over 3mg, absorption begin to decrease. Especially, when dose of tablets is increased to 10mg, absorption drops below 70% as the simulation predicted, while the absorption of BMS-820836 from solution formulations

remains constant up to 10mg (Figure 4b). This further confirms that absorption of BMS-820836 is limited by dissolution and thereby solubility. From the product development point of view, tablets of any dose above 10 mg should be designed with cautious because tablets with above 10 mg strength may encounter absorption issue. Thus, a rational decision for product development was made based on these data.





pH effect

GastroPlusTM simulation can also assist in evaluating the effect of gastric pH on absorption by altering the physiological pH values of stomach [14]. Figure 5 shows an absorption as a

function of stomach pH, where absorption of the 3mg tablets of BMS-820826 remained constant until pH reaches 4.32 at which pH the absorption started to decrease. Since BMS-820836 is a weak base, this can be explained as the solubility of BMS-820836

was lowered at pH 4.32 as shown in Table 3. Furthermore, as indicated in Table 3, the solubility of BMS-820836 continues to decrease with pH (Table 3) once beyond pH 4.4, resulting in a significant drop in absorption (Figure 5). To further confirm this, GastroPlus™ the simulations of 3mg tablets at gastric pHs of 1.3 and 5 was carried out with a transit time of 0.25 hour (see Figure 6). As shown in Figure 6, the absorption (AUC) of BMs-820836 at pH 5 was only slightly reduced relative to that at pH 1.3. From

Figure 6, AUC, $C_{max'}$ and T_{max} were derived as listed in Table 5 where the ratio between pH 5 and pH 1.3 for these parameters are close to 1. Overall, GastroPlusTM simulation of gastric pH effect can significantly improve the understanding of the *in vivo* behavior of BMS-820836, which will facilitate drug product development. Furthermore, food effect on drug absorption is critical for drug development in clinical trials. In the following section, we will focus on evaluating food effect using GastroPlusTM.

Table 5: PK parameters derived from GastroPlus™ Simulation for tablest at pH 1.3 and pH 5.

PK Parameter	Tablet (pH 5)	Tablet (pH 1.3)	Tab (pH 5) /Tab
AUC (ug/L*h)	291	302	0.96
Cmax (ug/L)	6.65	7.2	0.92
Tmax (h)	7.06	6.96	1

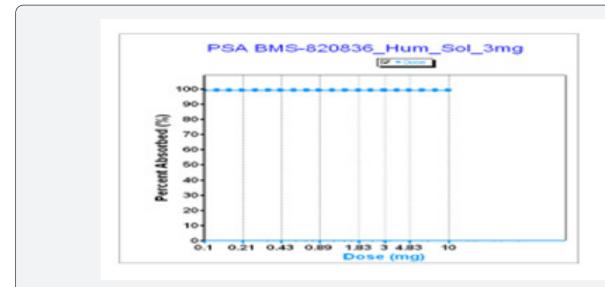


Figure 4b: Effect of dose on absorption from solution.

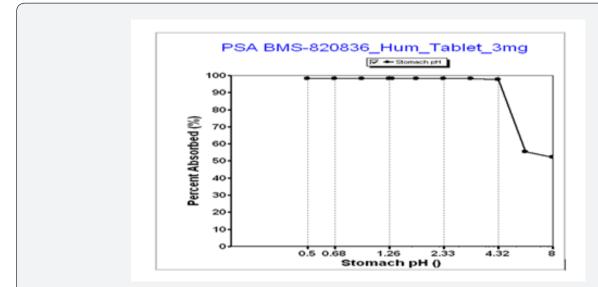
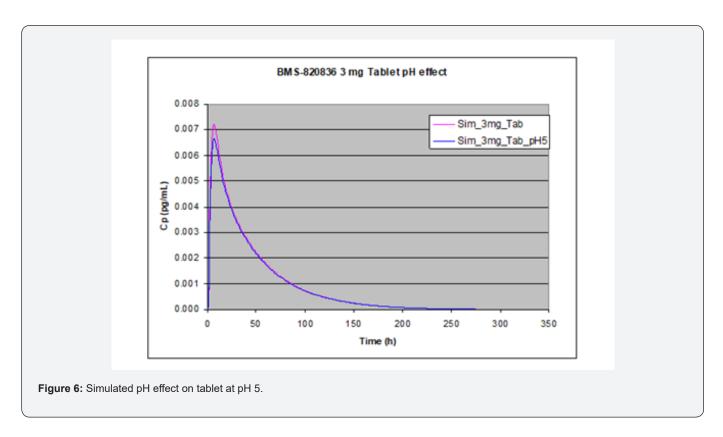
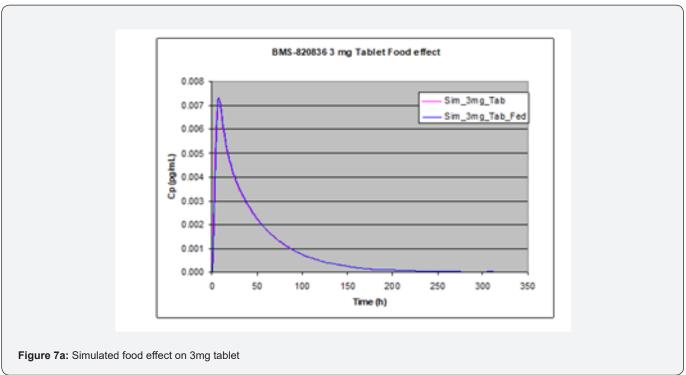


Figure 5: Effect of stomach pH on absorption from tablet.





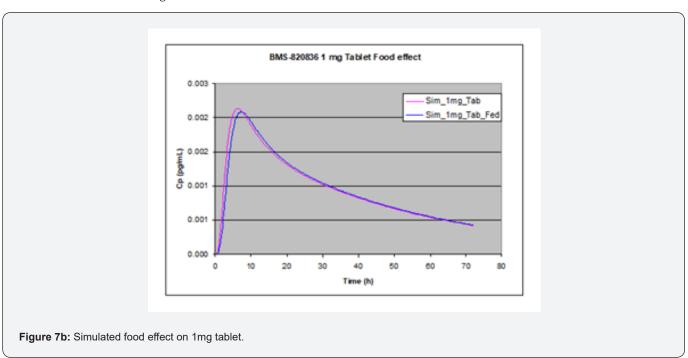
Food effect

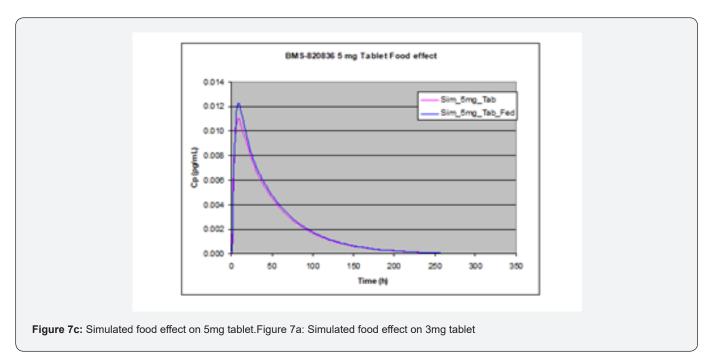
Evaluation of food effect on drug absorption based on $GastroPlus^{TM}$ simulation, achieved by adjusting simulation input parameters including physiological pH and transit time, as well

as hepatic flow, can provide significant benefit in assessing the developability of drug candidates [15]. In stomach, pH and transit time in the fasted state greatly from those in the fed state. To perform simulation of the effect of food (fed state), pH and transit time are changed from 1.3 and 0.10h in the fasted state to 4.0 and

1.0h in fed state. pH and transit time in other parts of GI such as duodenum and jejunum are also changed; in the fasted state, pH values in duodenum and jejunum are pH = 6.0 and 6.20, along with transit times of 0.26hr and 0.94hr, while in the fed state pHs are 5.40 and 5.40 in duodenum and jejunum respectively, together with transit times of 0.26hr and 0.94hr. Additionally, hepatic flow rate was increased from 1.5 l/min to 2L/min when switching from the fasted state to the fed state. As shown in Figure 7, GastroPlusTM simulation indicated that no significant food effect was observed

for doses below 3 mg (Figure 7a (1mg) and Figure 7b (3mg)) except for slight delay in $T_{\rm max}$ in the case of 1 mg tablet whereas a minor enhanced absorption due to food effect was observed for 5mg tablets (Figure 7c) [16]. As shown in Table 3, solubility of BMS-820836 increases with decreasing of pH. However, solubility enhancement of BMS-820836 in biorelevant media is not determined which may explain why absorption of the compound is increased in the fed state as indicated by the simulation [17].





Conclusion

In this paper, application of GastroPlus™ simulation to drug product development has been demonstrated. GastroPlusTM simulation using the optimized parameters, derived from the solution formulation of BMS-820836, was applied to evaluating the bioavailability of tablets. Results match well with clinical data when a dose is below 3mg. Additionally, dose-dependent absorption was obtained from the simulation due to solubility limitation. Regarding pH effect, PK simulation can effectively predict the impact of stomach pH on absorption until pH 4.3 for tablets up to 5mg, and these results will be verified by in vivo data. A low absorption is predicted once stomach pH is higher than pH 4.3. Furthermore, effect of food on absorption was simulated based on physiological parameters (pH, transit time, liver flow) of fast and fed states. Based on the simulation with default GastroPlus™ parameters, food does not impact BA for doses below 5 mg. However, slightly high absorption at fed state for 5mg tablets is shown through simulation. Overall, GastroPlus™ simulation can facilitate drug product development of BMS-820836, especially for solid dosage form.

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