

# Radiotherapy Lung Cancer Fractionated Biological Effective Dose Optimization with Genetic Algorithm Pareto-Multi objective Method - Part II

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## Abstract

This contribution constitutes an advance from previous articles in Breast and Head and Neck cancer Radiotherapy BED modelling (Biological Effective Dose). Lung tumors Hyper fractionation TPO (Treatment Planning Optimization) is programmed with Pareto-Multi objective (PMO) Genetic Algorithms (GA) software. Artificial Intelligence methods (AI) with GA are applied on hyper fractionated TPO and detailed. Results comprise PMO-AI imaging process sequences and numerical values of PMO Lung cancer TPO parameters. Further results prove PMO-GA BED model both with Pareto-Optimal Front detailed graphics, charts, and numerical dose fractionation datasets. Solutions for improved and advanced RT Lung cancer TPO, and tumors in general for Fractional-dose photon dose delivery are explained. Mathematical Medical Physics analysis and a few numerical comparisons to previous published research for Breast and Head and Neck cancer PMO with Evolutionary Artificial Intelligence are presented.

**Keywords:** Pareto-multi objective optimization; Mathematical methods; Biological models; Radiation therapy; Initial tumor clonogenes number population; Effective tumor population clonogenic number; Linear quadratic model; Integral equation; Tumor control probability; Normal tissue complications probability; Biological effective model; Tumor control cumulative probability; Radiation photon-dose; Nonlinear optimization; Radiotherapy treatment planning optimization; Source-surface distance; Software engineering methods; Radiation photon-dose; Attenuation exponential factor; Nonlinear optimization; Radiotherapy wedge filter; Anisotropic analytic model; fluence factor; Omega factor; Treatment planning optimization; Breast tumor; Artificial intelligence; Pareto-multi objective optimization; Genetic algorithms

**Abbreviations:** PMO: Pareto-Multi objective Optimization; MM: Mathematical Methods, BM: Biological Models; RT: Radiation Therapy; LQM: Linear Quadratic Model; IE: Integral Equation; TCP: Tumor Control Probability; NTCP: Normal Tissue Complications Probability; BED: Biological Effective model, TCCP: Tumor Control Cumulative Probability, RPD: Radiation Photon-Dose; TPO: Treatment Planning Optimization; SSD: Source-Surface Distance; AEF: Attenuation Exponential Factor; WF: Wedge Filter; AAA: Anisotropic Analytic Model; FF: Fluence Factor; OF: Omega Factor; TPO: Treatment Planning Optimization; BT: Breast Tumor; AI: Artificial Intelligence; PMO: Pareto-Multi objective Optimization; GA: Genetic Algorithms

## Introduction

Formerly, biological models BED-TPO simulations with AI-GA were proven in a series recent publication for Head and Neck and Breast tumors [1-23]. This article shows modelling advances from those methods/results towards one of the most epidemiologically frequent in male and female cancer patients actually, namely lung tumors. Artificial Intelligence with Genetic Algorithms in engineering software is applied on radiotherapy BED model for Lung cancer. The objective of this research is further development, programming, obtain two types of AI-GA 2D Graphics-numerical Optimization, and extensive dataset results for BED model hyper fractionated TPO protocol in Lung cancer [24]. Therefore, the focus of the study is getting accurate and software functionality

optimization of BED model for lung cancer hyper fractionated RT treatment. Epidemiologically, the importance of lung cancer screening among smokers and individuals with risk factors, has been proven recently. The reason is that survival rate after 5 years among early-stage diagnosed lung tumors is significantly higher [25-38]. Surgical resection of lung tumors at stage I (T1-2, N0) NSCLC yields satisfying outcome results with 5-year survival rates of 60-70%, and remains at present the golden standard in this population. After resection, radiotherapy is optional.

Lung tumors are a heterogeneous type of cancer. Their incidence and prevalence are statistically among the highest percent of all tumors and constitute the highest deaths cancer rate at present. In general, [96], Non-Small-Cells-Lung carcinoma

has a prevalence of approximately 85% of all lung tumors, while Small-Cells-Lung carcinoma shows about 15% of prevalence. In addition, lung and breast cancer show be the highest incidence in brain metastases [39-45]. For example, 234,000 Primary Lung cancer cases in USA in 2018 with 154,050 deaths. In 1990 the incidence peak was [70 / 100,000 population], in 2018 [57 / 100,000 population] . This specific decrease of incidence in that developed country is probably due to healthier population habits related to tobacco consumption and contact with other toxic substances/chemicals. The most important oncological causal factor for lung cancer is proven be tobacco consumption, even in passive smokers. However, further pathogenesis factors are mainly chemical, from the external media intake/contact. Namely, radon gas, passive smoking, prior radiation from any radiation source [39-40,46-80], inhaled chemicals (polycyclic aromatic hydrocarbons), heavy metal inhaled particles and/or micro-nano particles. Among previous diseases, for instance pulmonary fibrosis. If any potential patient is smoker and at the same time is in contact with carcinogenetic chemicals, the ontogenetic synergism factor increases the probability of lung cancer. Therefore, although the tobacco consumption is decreasing in developed countries, it does not happen so in underdeveloped ones. This has caused an incidence/prevalence social-geographic-pathology rate displacement towards those countries. In addition, when smoking, the oral cavity can accumulate tobacco and alcohol as ontogenetical factors. This pathogenesis can cause concomitant diseases associated to the main lung tumor [81].

The radiosensitivity of tumor cells for optimal RT treatment has two main factors to be considered [82-109], namely, alpha and Betha coefficients and repopulation (Tumor Cells Intrinsic Radiosensitivity). From about 4 days after dose fraction irradiation, the fraction of clonogenic increases almost exponentially. Intrinsic radio sensibility is a crucial factor for Biological Effective Dose because the model depends on alpha and betha coefficients. The mathematical reason is that the exponential for survival fraction for radiobiological models is modified by these important parameters (Algorithm 2), [23]. According to [109], the alpha values vary [0.72,0.52], and the beta one's change [0.047,0.034], related to intermediate plating-delayed plating. In other words, RT schedule and patient personalized treatment for lung tumors should consider intrinsic radiosensitivity both for tumoral and normal tissues, when treatment planning optimization is calculated (NTCP, normal tissues complications probability and TCP, Tumor Control Probability constitute essential complementary probabilistic parameters when designing TPO).

The influence of oxygen model is a factor to be taken into account when using BED model. The main reason is the oxygenation factor on the numerical magnitude of alpha and betha parameters. A basic model is as follows, where,  $(\alpha_o, \beta_o)$  and  $(\alpha_h, \beta_h)$  are the radio sensitivities under oxygenated and hypoxic conditions respectively (Malinen et al. 2006) :

$$\alpha_h = \alpha_o / \text{OER} \text{ and } \beta_h = \beta_o / \text{OER}^2$$

where,

OER : oxygen enhancement ratio.

$\alpha_o$  : Alpha magnitude in oxygenation.

$\alpha_h$  : Alpha magnitude in hypoxxygenation.

$\beta_o$  : Betha magnitude in oxygenation.

$\beta_h$  : Betha magnitude in hypoxxygenation.

This distribution of hypoxia has also been used in previous modelling studies as a consequence the proportion of the total initial number of colones. This proportion is predicted by probability theory. The influence of oxygen is linked to models of alpha and betha parameters related to the oxygen enhancement ratio (OER), whose formula is large and not set in the paper. The most important is: If OER is higher than 1, alpha-hypoxia and betha-hypoxia decrease, then the Survival Fraction Equation negative exponential because alpha-oxygenated and Betha - oxygenated increase. Therefore, in general, oxygenated cells are more radiosensitive. Therefore, to get a survival fraction in oxygenated cells requires less radiation magnitude in general. In brief, the  $\alpha$ -hypoxia and  $\beta$ -hypoxia parameters for radio sensitivity depend on  $\text{PO}_2$ , and this dependence can be described by the oxygen enhancement ratio (OER). In this classical oxygenation-radiosensitivity model the most important formulas are (Malinen et al. 2006).

$$\alpha_h = \alpha_o / \text{OER} \text{ and } \beta_h = \beta_o / \text{OER}^2$$

Where,  $(\alpha_o, \beta_o)$  and  $(\alpha_h, \beta_h)$  are the radio sensitivities under oxygenated and hypoxic conditions respectively.

Today, biomarkers are getting an important role in order to predict the survival time, optimal chemotherapy, and both characteristics at the same time. Table 1 shows a biomarkers classification into P-Biomarkers (Biomarkers for Prognosis), T-Biomarkers (Biomarkers for Optimal Treatment), and H-Biomarkers (Hybrid Biomarkers Group). General Biomarkers classification. Nano-Biomarkers is an open research field with potential perspectives in future. Just remark that Biomarkers are is extent, diverse and difficult as involves biochemistry, molecular biology, medicine-pharmacology, medical physiology and several other fields. Therefore, this Table is simple based on Author's proposal classification. Hence, Biomarkers can be classified into two groups. Namely, T-Biomarkers, those specific for treatment, and P-biomarkers, those specific for prognosis.

In recent years, the TNM stage taxonomy was improved in the seventh edition of the staging guidelines to set a combination of TNM parameters with survival outcomes rates and treatment optimal choices among stages [refs]. Then, interrelated with TNM stages, mainly the survival rate, and prognosis rate, location, types of selected treatment strategies, divided into in stages I, II, III and IV are nowadays considered complementary. TNM classification has also defined survival rates for every stage. For example, T1a and IA show get the approximately equal survival rate after 5 years

of about 50%. The interrelation of both criteria can be considered complex, since survival outcomes, surgery resection indication, RT treatment, chemotherapy and immunotherapy are linked to several stages of both taxonomies. For early stage : CT screening proven e useful. Low dose CT was proven be useful in screening . Screenings to get an early-stage diagnosis, and obtain an optimal treatment, are focused mainly on selected population smokers and high-risk professions risk factors (such as toxic chemicals). TNM classification complemented with histologic grade is used today for precise diagnosis-stage of lung tumors. For example, T1a stage shows be the best survival rate after 5 years, 70%, while IV has a 10% .Pleural invasion, particularly the presence of tumor at the surface of the visceral pleura, has been an indicator of a poor prognosis.

Since radiotherapy treatment is indicated in all TNM lung cancer stages, it is possible to set a practical classification for specific lung tumor RT treatment, [author's proposal] . PTV Type 1 is a clinical planning for T and N stages located within thorax or very close into thorax to lungs. PTV Type 2 is the RT planning for N and M stages which are not close to primary lung tumor, such as, upper nodules at cervical-neck level, distant axile nodules or brain metastases. Radiation therapy treatment is applied at all stages of lung cancer. Even at M stage, the brain metastases are treated with radiation, and when their diameter are about 5cm, radiosurgery is indicated. Lung radiation has special anatomical and physiological constraints compared to other organs. Some of them are lung density inhomogeneity, which is a synergic difficulty with PTV volume changes with lung breath dynamics. During radiation delivery, the lung tumor target borders could vary about 2-3cm, and at the same time that implied a dynamic density PTV change.

Radiotherapy biological models come from initial radiobiological theoretical and experimental studies [75,87-88,91]. Radiobiological interaction with normal tissues was researched for radioprotection [76-80]. Then, two practical branches emerged simultaneously from the equivalent mathematical modelling investigation. Namely, to use radiobiology knowledge for radiation protection of normal tissues, and conversely to set radiobiological models to approach/improve the cell-kill method in tumoral tissues [22-25,89-91]. From this branch-duality, a practical swapping of equivalent parameters emerged for practical/easier research and clinical-biological applications. For instance  $[\alpha, \beta]$  typical Linear Quadratic models parameters. These mathematical models both for normal and tumoral tissues were usually based on exponentials whose power-functions depend on a linear/nonlinear equation of radiation dose variable with a number of experimentally validated coefficients/constants [22-25,76-80,87- 91,92-96].

The previous works and novelty in this artificial intelligence method for hyperfractionation precision-schedule method, [91,98,99,105], showed accurate results for survival fractions, 3D Graphical Optimization charts, and a database of numerical

results in other type of cancer, e. g. , prostate. To reach the research objectives in this study, nonlinear GA-PMO engineering software was programs for PMO-BED Lung cancer basic model. The implemented specific software got to get going towards improvements in GA programming and TPO radiotherapy optimization for BED model hyperfractionated dose delivery protocol [91].

Therefore, the innovation of this contribution shows a number of aspects. The first one is the application of Pareto-Multi objective Optimization methods for lung cancer with in vivo dataset. The second one is the 3D Isodose lines in TPO with new imaging results, based on previous recognized publications [91,98,99,105]. The third is also the presentation of 3D Isodose zones improved imaging-processing results based on another recent article. Previous work with these methods belong to prostate tumors and lung ones [91,98,99,105]. The primary algorithms and software results for these Pareto-Multi objective Optimization were initially developed in these contributions.

Consequently, the innovation of this study is to carry out AI-GA programming for lung cancer hyperfractionation TPO in BED models. Several simulations with Genetic Algorithms are shown in 2D charts and numerical results. The algorithm for multi objective pareto optimization is detailed and programmed. Results comprise GA simulations for two types of parameters-BED models according to literature [75,87,88,91]. MATLAB system was used for GA programming with acceptable graphical processing images and numerical data findings. Succinctly, advanced research from former Nonlinear Pareto-Multi objective GA optimization was got for BED models in Lung cancer [87,88,91]. Applications for radiotherapy TPO, dose delivery hyperfractionation schedule future biological model advances in modern RT are also presented.

## Mathematical Algorithms and Software Engineering Methods

The model set in software patterns is the basic BED one [20-25,89]. There is a number of variations/simplifications of this model in the literature [20-25,39,40,75,85,91]. One reason is that the radiobiological parameters determination vary according to experimental radiobiology data findings [20-25]. Therefore, those simplified BED models take statistical values of, for example, Potential and others. In this section the algorithm is explained, at Results one, the Pareto-Multi objective Optimization method parameters to put forward sharply the graphs are detailed. Besides, in biological models TPO applications, there is a medical physics controversy/discussion about Hyperfractionation versus Hypofractionation dose TPO delivery. That point is beyond the scope of this study since the objective of the research is AI-GA optimization of hyperfractionation dose. In this section the algorithms are explained, and at results one, the Pareto-Multi objective Optimization method parameters to put forward sharply the graphs are detailed. However, for sharp learning, Table 2 shows the main simplified concepts of Genetic Algorithms applied on Pareto-Multi objective Optimization.

It is very frequent the application of inverse least square techniques for RT inverse planning. Genetic algorithms constitute a more modern method, and GA Pareto-Multi objective has also been applied recently [58,67]. The genetic algorithms (GA) optimization method, closely linked to modern artificial intelligence, has experienced a recent increase in the use of its optimization applications/variants [58,67]. Although the applied GA method in this contribution is the standard, each one of the GA variants has its advantages and disadvantages [10,11]. Basically, GA is a stochastic mixed method similar to Monte Carlo but simpler/faster in general. That is, in plain language, successive generations of values (parameters) are computed by the software until the optimal parameters figures are obtained. Actually, the multiple combinations of random/stochastic methods, GA variants, and modern programming techniques, offer an extensive choice of options for the optimization designer. In this article, the parameters, namely, are, dose fraction magnitude, number of fractions, and total treatment time.

Therefore, here GA usually selects a randomly large number of successive generations of dose fractions number, fraction magnitude, and total treatment time, for the objective function maxima accuracy subject to constraints-desired intervals for dose fractions number, fraction magnitude, and total treatment time. For every generation, three types of choices are applied for the OF. Namely, elite selection, after-mutations, and cross-over changes in the variables' values. GA program stops when the number of generations subject to the constrains and/or the numerical

tolerance for a generation is reached even if that solution is a local or global minimum. In other words, speaking roughly, GA stops when the best-possible and desired data for TPO that is needed is obtained.

Pareto-Multi objective method is a specific optimization one, and here is implemented with GA. This method, for example, is extensively used also in economics to obtain optimal-comparative values for two options (actually 3 ones is possible). Namely, Objective 1 and Objective 2. The method of multi objective optimization for simple constraints begin with setting an objective function for the two different objectives with this type of functions:

$$\begin{aligned} & \text{Minimize,} \\ & F(\vec{x}) = (f_1(\vec{x}), f_2(\vec{x}), \dots, f_N(\vec{x})), \\ & \text{subject to,} \\ & K_i(\vec{x}) \geq 0, \text{ for } i=1, \dots, M \end{aligned}$$

Where,

$F(x)$  : Main function to be optimized.

$f_i(x)$  : Every function of same variables  $(x)$ .

$K_i(x)$  : Constraints functions such as in general  $N \neq M$ .

Algorithm 1- The basic concepts of constrained Multi objective Optimization. Then, two alternatives (in paper total dose 50-55 Gy for Pareto1, and 60-65 for Pareto2, and for second simulation, 60 Gy Pareto1 and 65 Gy Pareto2, see all Table 1 & 2) are set within a built OF.

**Table 1:** Biomarkers Author's proposal of modern classification based on [101,108,109].

Biomarkers Classification for Lung Tumor Radiotherapy Treatment [Author's proposal]			
Biomarker Type and Usage	Clinical Applications	Functionality	Radio-Biochemical Details and Research
P-Biomarker (Main Function Prognosis Biomarker)	Prediction of approximate survival time subject to optimal treatment. There are a large variety of techniques, for instance: Forward and Reverse Phase Protein Arrays, or Antibody and Antibody Detection—Based Assays.	Prediction for approximate survival based on specific tumor cell histology, and according to the relation histology- efficacy of drugs. Drug failure investigation utility.	The research in Biomarkers has experienced significant advances in recent years. Research clinical trial example: investigation data show that patient survival time in PD L1 positive patients who are treated with combined anti CTLA 4 and anti PD 1 is not superior to nivolumab monotherapy. That implied that was necessary further research. This clinical trial study area is difficult
T-Biomarker ( Main Function Treatment Biomarker)	Selection of approximate optimal choice for treatment. Examples: dual-target recognition technologies.	Optimization of the best effective drug type for personalized tumor at every patient. Detection of optimal chemical-drug target and pharmacokinetics. Drug failure patient personalization investigation. Target characterization, or Dual target.	HSP90a is an inducible molecular chaperone that functions as a homodimer. Research field involves physics, nuclear physics, biology, and chemistry.
H-Biomarker (Hybrid Function Biomarker)	Both prediction of survival time and optimal treatment	Specially those biomarkers that can make both functions, namely, prediction and treatment, or one of them better than the other.	Nano-Biomarkers actually in investigation can modify the immune cells and efficacy of chemical-drugs over cells tumor



**Table 2:** Genetic Algorithms applied on Pareto-Multiobjective Optimization simple explanation.

Simplification of Genetic Algorithms Method Applied to Pareto-Multi Objective Optimization		
Parameter	Utility	Mathematical Significance
Number of Generations	To obtain the best results with the more efficacious generations number. The program is selecting the most accurate results (functions) after every generation, and discarding those (functions) whose errors are higher than constraints.	In general, the error decreases when reaching a prime number of generations, after that, the error differences are not significant
Best Fit Generation	The program finally shows those generations (functions) that match the constraints better.	With Pareto-Multiobjective, the program shows two parameters at 2D chart: namely, best fit for pareto1 and best fit for pareto2
Pareto Front	The GA program works with two different functions, pareto1 and pareto2. That is presented in a 2D graph and the software-researcher can find the optimal points that satisfies better the objectives for both at the same mathematical parameters.	The most important when GA is applied on Multiobjective Optimization. Along the pareto front points given in 2D graph, the planner can select the best combination for both pareto function constraints.
Distance Among Individuals and Fitness of Each Individual	Along successive generations, they show how the individuals (values of pareto1 and pareto2) satisfy the constraints and algorithm. Then there are two parameters, accuracy for each and every individual independently, and comparative precision among individuals of every generation.	It gives the mathematical differences among successive generations. If it is not high, the meaning is that the method has got acceptable results.

**First we get the bed model as it was done for head and neck cancer in previous publications**

Chebyshev  $L_1$  optimization for,

$$BED_{\text{effective}} = kd \left[ 1 + \frac{d \times \beta}{\alpha} \right] - \dots$$

$$\dots - \frac{Ln(2)}{\alpha} \left[ \frac{T_{\text{Treatment}} - T_{\text{Delay}}}{T_{\text{Potential}}} \right];$$

#### Second Step: Setting This Model In L1 Objective Function:

Therefore, the Pareto-Multi objective Optimization basic BED Effective created in this contribution algorithm-model was set in software, [91]. Parameters are detailed in Algorithm 1 [85-91]. Two different PMO optimization programming series are presented with different parameter intervals magnitudes, Table 1 & 2. This BED model constitutes the fundamentals for fractionate radiotherapy, although there are variations among authors [22-25]. Formulation is based on previous studies computational software [1-21,85-91]. The algorithm that was set, with Chebyshev  $L_1$  norm, [Algorithm 1], reads,

Chebyshev  $L_1$  Optimization,

for  $i=1,2,\dots$  minimize pareto,

$DOSE_i - BED_{\text{effective}} |_{L_1}$  with,

$$BED_{\text{effective}} = k \times d \times \left[ 1 + \frac{d \times \beta}{\alpha} \right] - \dots$$

$$\dots - \frac{Ln(2)}{\alpha} \times \left[ \frac{T_{\text{Treatment}} - T_{\text{Delay}}}{T_{\text{Potential}}} \right];$$

Where,

BED : The basic algorithm for Biological Effective Dose initially developed [22-25,89].

K : Optimal Number of fractions for hyperfractionated TPO. Optimization parameter [22-25,89].

D : Optimal Dose magnitude for every fraction. Optimization Parameter [ Gy ]. [22-25,89].

A : The basic algorithm constant for Biological Effective Dose models. Radiobiological experimental parameter. [  $Gy^{-1}$  ]. [22-25,89].

B : The basic algorithm constant for Biological Effective Dose models. Radiobiological experimental parameter . [  $Gy^{-2}$  ]. It is very usual to set in biological models [  $\alpha / \beta$  in Gy].

$T_{\text{Treatment}}$  : The overall TPO time. This parameter varies according to authors' and institutions/hospitals criteria. [22-25,89].

$T_{\text{Delay}}$  : The overall TPO time delay for colognes re-activation. This parameter varies according to authors' experimental research.

$T_{\text{Potential}}$  : The potential time delay for tumor cell duplication. This parameter varies according to authors' experimental-theoretical research.

DOSE : The dose magnitudes for lung cancer simulation algorithm for Biological Effective Dose [22-25,89]. Software patterns were calculated around intervals lung DOSE  $\in$  [50,65] Gy.

Algorithm 2- Lung cancer PMO algorithm [1-21,85-88] set in software loops, patterns, and arrays for AI-GA. The parameters interval-magnitudes for optimization are detailed in Table 1 & 2. It is an improvement from a series of previous research in radiotherapy PMO-AI for Breast and Head and Neck tumors [75,87-88,91].

**Pareto-Multi objective Dataset For Lung Cancer Optimization:** In the following, Table 2 shows the first simulation series according to data from [89]. Table 3 & 4 details dataset

for second simulations series with experimental-theoretical magnitudes from [22-25]. In programming task, several trials around these values were done.

**Table 3:** The first series simulations were done with approximate numerical-experimental data from several authors, mainly [89]. These parameter intervals are different from second series simulations.

Genetic Algorithm Artificial Intelligence Optimization Parameter Interval for Lung Tumors First Optimization		
Parameters with Programming Intervals [First Optimization with [89] Criterion]	Magnitude Interval	Additional
Dose fractions number	Several trials [30, 40], [27, 32]	Schedule in literature [1-22-25,74-89,91], references for all this column.
Dose fraction magnitude	Several trials [ 1.5, 2.5], [ 1.3, 1.7], Gy	Schedule in literature. Set with intervals according to [89] criteria.
$T_{\text{Treatment}}$	Several trials [25,36],[30,40] Days	Schedule in literature. Set with intervals according to [89] criteria. The RT treatment [22-25] varies according to weekends, vacations, secondary effects in patient, circumstances, etc.
$T_{\text{Delay}}$	[15,20] Different from second simulation series	Schedule in literature. Set with intervals according to [89] criteria.
$T_{\text{Potential}}$ [programming interval]	[4.5, 5.5] At model denominator and different from second simulation series	Schedule in literature. Set with intervals according to [89] criteria.
Dose interval in Objective Function	50-55Gy for Pareto function 1	
60-65Gy for Pareto function 2	Schedule in literature. Set with two total dose Pareto Functions according to [87-89, 91] different criteria.	
$\alpha$	[0.1, 0.6] $\text{Gy}^{-1}$	From [89]
$\beta$	0.035 $\text{Gy}^{-2}$ Different from second simulations	From [89]

**Table 4:** The second series simulations were done with approximate numerical-experimental data from several authors, mainly [22-25]. These parameter intervals are different from first series simulations.

Genetic Algorithm Artificial Intelligence Optimization Parameter Interval for Lung Tumors Second Optimization		
Parameter [Second Optimization with Refs [22-25,83] and Related Author's Radiotherapy Text Books] Criteria]	Magnitude Interval	Additional
Dose fraction number	[25, 35] and [20, 30]	Schedule in literature [1-22-25,74-89,91-96] references applicable for all this column.
Dose fraction magnitude	[ 2.25, 3.25] Gy	Schedule in literature. Set with intervals according to [89] criteria.
$T_{\text{Treatment}}$	[30,32] Days	Schedule in literature. Set with intervals according to [22-25] criteria. The RT treatment [22-25] varies according to weekends, vacations, secondary effects in patient, circumstances, etc.
$T_{\text{Delay}}$	[21] Days standard	Schedule in literature. Set with intervals according to [22-25] criteria.
$T_{\text{Potential}}$ [ programming interval]	[3.30, 4.10] Days	Schedule in literature. Set with intervals according to [22-25] criteria.
Dose interval in Objective Function	60Gy for Pareto function 1 65Gy for Pareto function 2	Schedule in literature. Set with two total dose Pareto Functions according to [87-89, 91] different criteria.
$\alpha$	[0.1, 0.6] $\text{Gy}^{-1}$	From [22-25]
$\beta$	0.0581 $\text{Gy}^{-2}$	From [22-25]

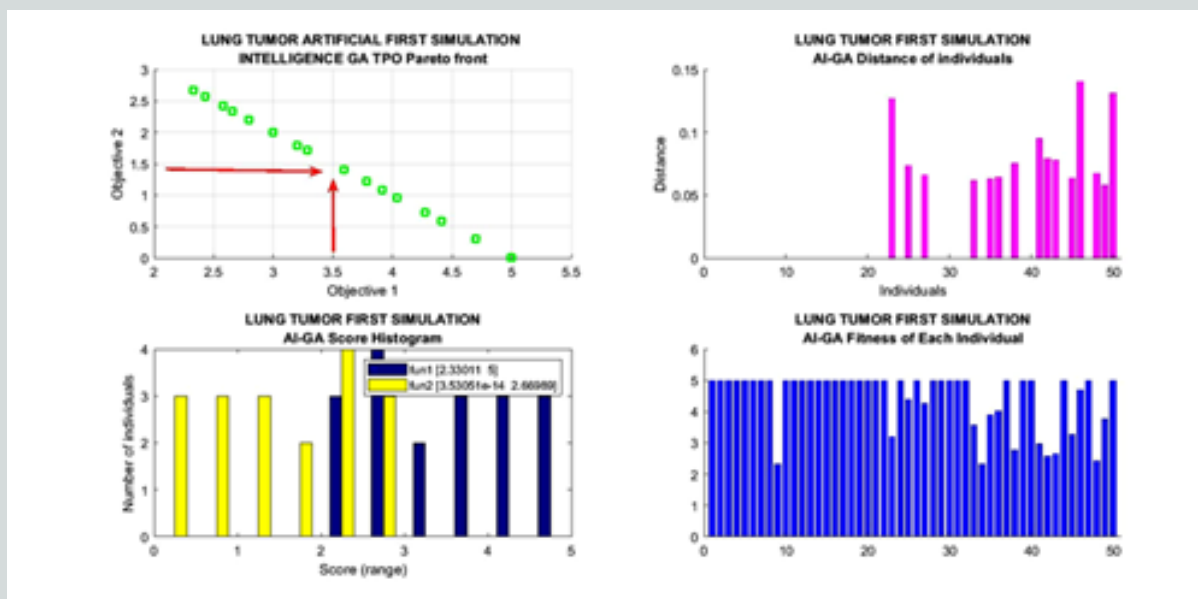
## Results

Results are 2D AI-GA graphical and numerical. As shown in Table 2, the most important GA parameters are presented and

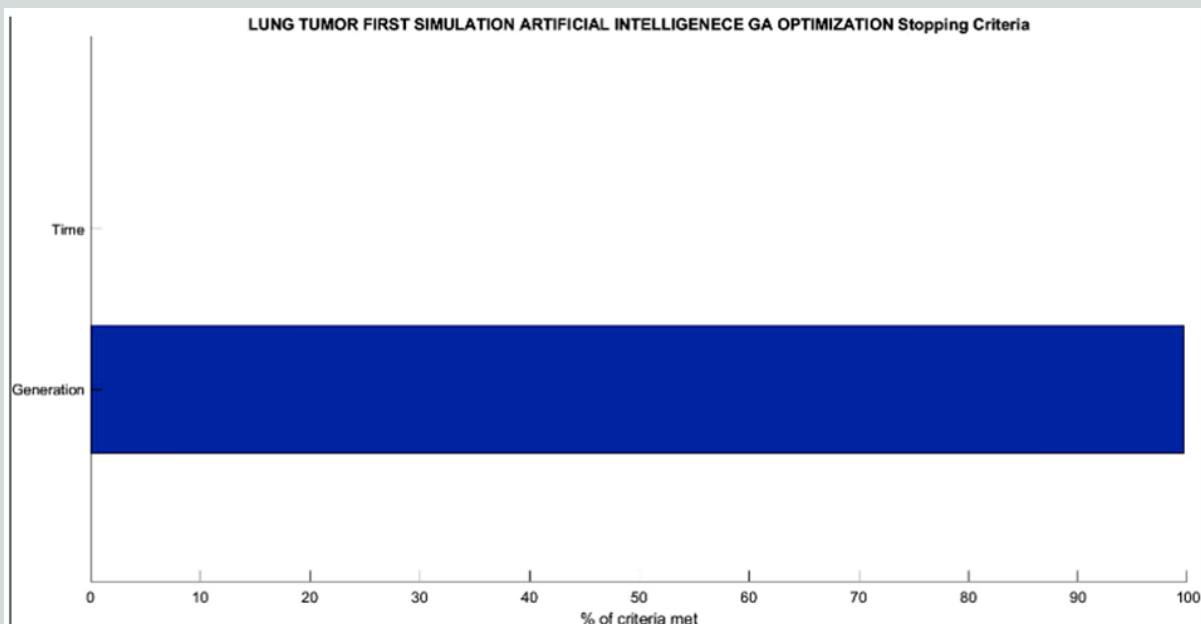
explained. That is, Best Fit, Number of Generations and Pareto-Front. In Pareto-Front, the optimal point was selected to get the best results, Figure 1-5. Figure 6 & 7 show the histograms

score data results, that is, the difference between the pareto1 and pareto2 scores. For first simulations, Figure 1-4 show PMO results-Figure 3.1 is a variant of Figure 3. For the second group, 2D imaging processing are Figure 5-7. Table 3 & 4 present details of both numerical PMO optimization results. The most important to validate the results are those ones that show the Pareto Front. Average distance among generation individuals, score histograms, stopping criteria, or fitness for every individual,

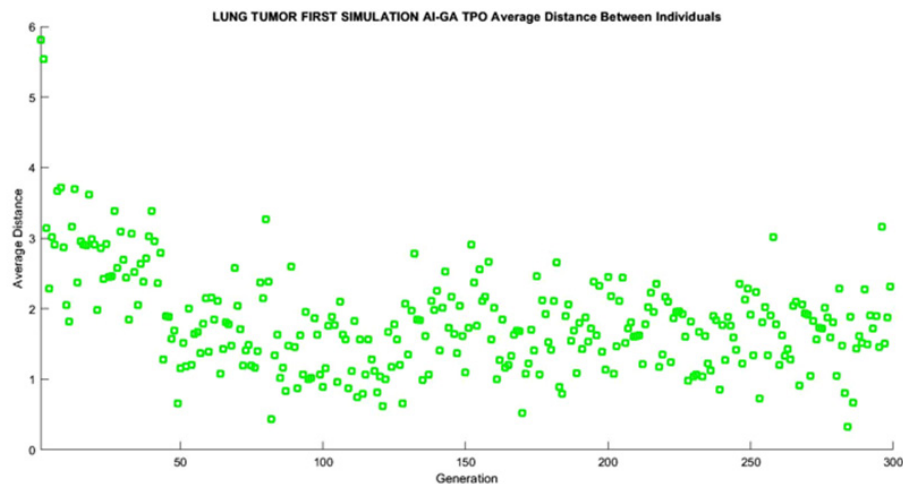
are also significant. All the complementary details and shown in additional 2D charts for first and second AI-GA PMO optimization. Maximum number of generations programmed is 300. Some other generations number to compare were selected as 100, General running time range for all images and both optimization trials is about 2-6 minutes. Numerical results, Table 3 & 4, resume for PMO in BED model. Dose fraction magnitudes, number of fractions and optimal total RT treatment values are explained in Table 3 & 4.



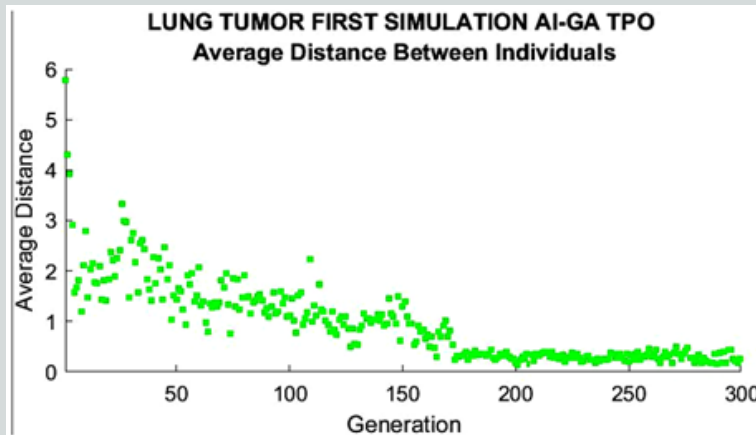
**Figure 1:** First optimization Multifunctional AI-GA 2D graph. The Pareto-Front is the most important graph. When it shows low residuals the GA optimization is acceptable for Algorithm1. In this study both f 1 and f 2 show low 1.5 and 3.5 residuals. The number of points on the Pareto front was: 18. The number of generations is 300. Note the precision reached: pareto1 and pareto2 differ in approximately 1 Gy. Average distance among individuals is approximately lower than 0.5, and fitness of every individual is acceptable.



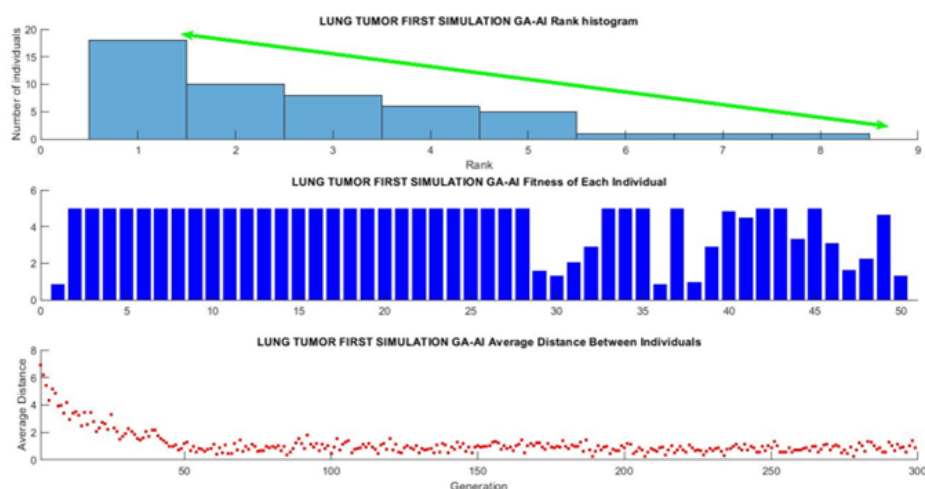
**Figure 2:** Stopping criteria for first optimization showing 100% criteria met. At Y axis generation and time.



**Figure 3:** This is a significant 2D GA graph. Most of average distance magnitude in lower than 2 from 50th generation on. The number of points on the Pareto front was: 18. The number of generations is 300.

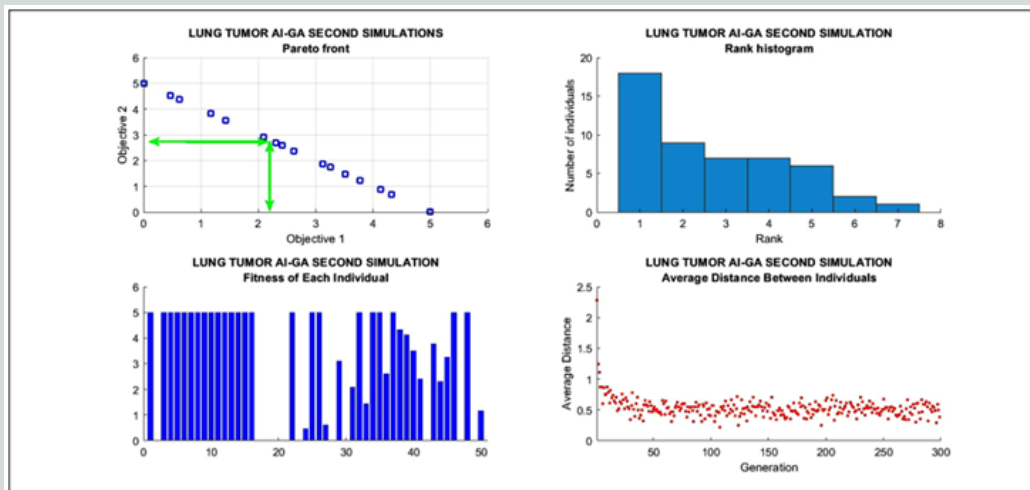


**Figure 3.1:** Refinement of first optimization GA 2D graph from Figure 3. Average distance magnitude is approximately lower than 0.5 from 150<sup>th</sup> generation on.

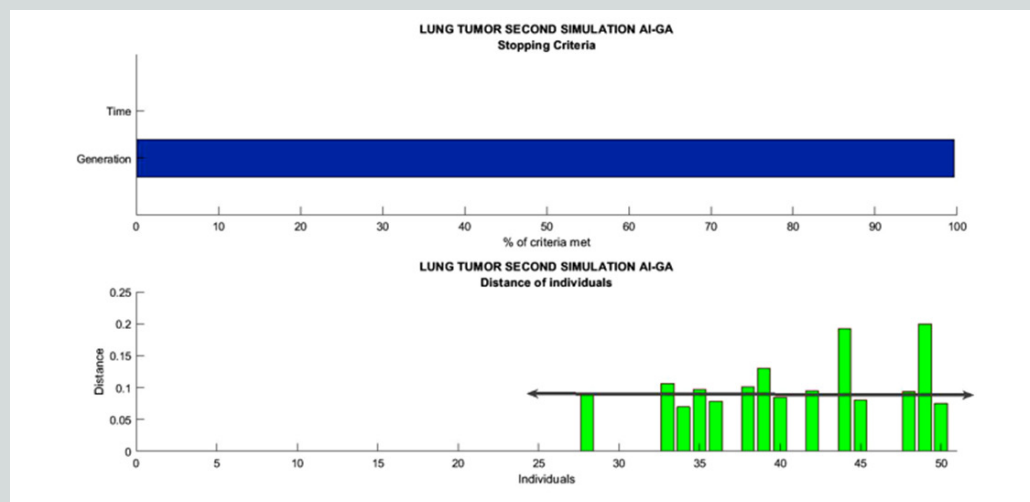


**Figure 4:** First optimization Multifunctional GA 2D graph. It comprises rank histograms, note the error decreasing gradient. Fitness of each individual, inset, at second graph. Average distance at lowest image. All parameters show be precise, especially the rank histograms.

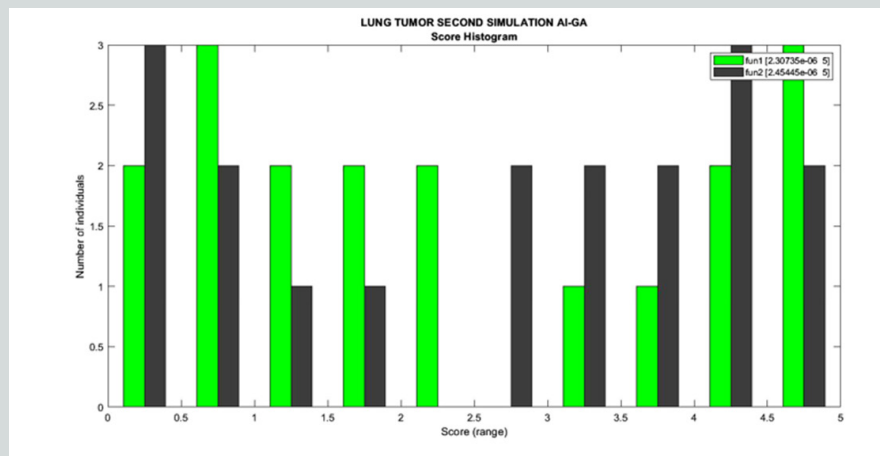




**Figure 5:** Second optimization Multifunctional AI-GA 2D graph. The Pareto-Front is the most important graph. When it shows low residuals the GA optimization is acceptable for Algorithm1. In this study both  $f_1$  and  $f_2$  show lower than 3 residuals. The number of points on the Pareto front was: 18. The number of generations is 300. Average distance is lower than 1, about 0.5 from 50th generation on. One option for a balanced pareto-choice with approximate equal residuals for both pareto functions is marked inset with green arrows. In this second simulation pareto1 and pareto2 differences is lower than the first one.



**Figure 6:** Stopping criteria for second optimization showing 100% criteria met. At Y axis generation and time. Lower chart shows distance among individuals, in general lower than 0.1.

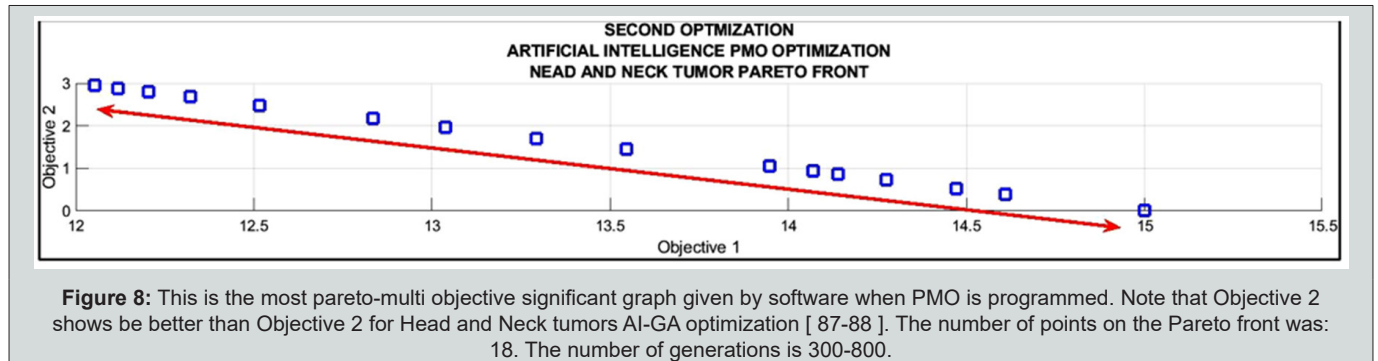


**Figure 7:** Second optimization Score Histogram range.

## GA 2D results

First simulation 2D GA results are shown in Figure 1-4. The data for first and second simulation is set at Table 3 & 4. Second one is presented in Figure 5-8. There are simple image processing

charts and multifunctional images also. In Figure 1, the most important is the Pareto-Front first chart. Complementary, the Distance among individuals and the fitness of each individual is shown, concepts in Table 2.



## GA Numerical results

This section shows a brief of numerical dataset corresponding to imaging processing AI-GA results, Table 4 & 5. It is useful to compare the dose per fraction and number of fractions among all optimal results.

## First simulation numerical results

The first simulation results are shown in Table 5. The second in Table 6. Main comparison should be among dose per fraction and number of fractions. Results are according to literature and recent advances several hospital data.

**Table 5:** PMO Artificial Intelligence with GA optimization first simulation series numerical results. Numerical results intervals, after several trials, match approximately [89] data.

Genetic Algorithm Artificial Intelligence Optimization Numerical Results for Lung Tumors First Optimization		
Parameters with Programming Intervals [ First Optimization with [89] Criterion]	Magnitude Interval	Additional
Dose optimal fraction number	[ 27, 31] Fractions	Usual protocol in literature [1-21,74-89].
Dose fraction optimal magnitude	[1.60, 1.90] Gy	Usual protocol in literature [1-21,74-89]. Set with intervals according to different criteria.
$T_{\text{Treatment}}$	[30,33] Days Different from second simulations	Usual protocol in literature [1-21,74-89]. Set with intervals according to different criteria. The RT treatment varies according to weekends breaks, secondary effects, patient circumstances, etc.
$T_{\text{Delay}}$	[12,16] Days From [89]	Usual protocol in literature [1-21,74-89]. Set with intervals according to different criteria.
$T_{\text{Potential}}$ [programming interval]	[4.5, 5.5] Different from second simulation series	Usual protocol in literature [1-21,74-89]. Set with intervals according to different criteria.
Dose interval in Objective Function	58Gy for Pareto function 1 65Gy for Pareto function 2	Usual protocol in literature [1-21,74-89]. Set with two total dose Pareto Functions according to different criteria.
$\alpha$	[0.1, 0.6] $\text{Gy}^{-1}$ Set in arrays [0.35 $\pm$ 0.25] Like second simulations	From [89]
$\beta$	0.035 $\text{Gy}^{-2}$ Set in arrays	From [89]

**Table 6:** Brief of PMO Artificial Intelligence with GA optimization second simulations series numerical results in Head and Neck tumors for advanced TPO. Dataset for software [22-25].

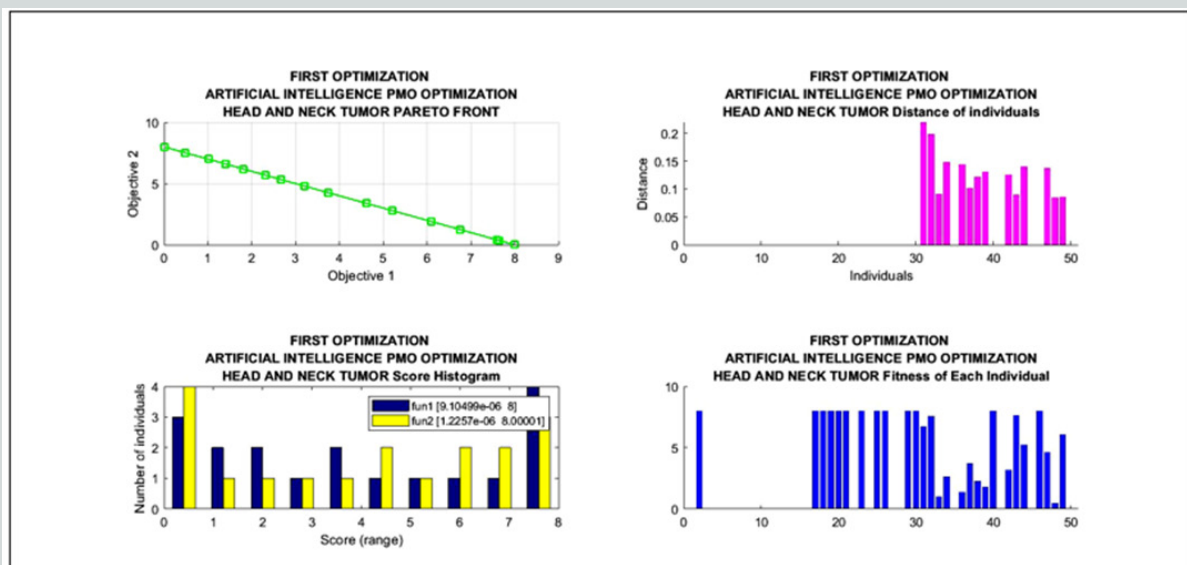
Genetic Algorithm Artificial Intelligence Optimization Numerical Results for Lung Tumors Second Optimization		
Parameter [Second Optimization with [25,83] and Related Author's Radiotherapy Text Books criteria]	Magnitude Interval	Additional
Dose fraction number	[19, 22]	Usual protocol in literature [1-21,74-86].
Dose fraction magnitude	[2.0, 2.5] Gy	Usual protocol in literature [1-21,74-86]. Set with intervals according to different criteria.

$T_{\text{Treatment}}$	[30,32] Days	Usual protocol in literature [1-21,74-86]. Set with intervals according to different criteria. The RT treatment varies according to weekends breaks, secondary effects, patient circumstances, etc.
$T_{\text{Delay}}$	[22,35] Days	Usual protocol in literature [1-21,74-86]. Set with intervals according to different criteria.
$T_{\text{Potential}}$ [programming interval]	[3.30, 4.10] Days	Usual protocol in literature [1-21,74-86]. Set with intervals according to different criteria.
Dose interval in Objective Function	60Gy for Pareto F function 1 65Gy for Pareto F function 2	Usual protocol in literature [1-21,74-86]. Set with two total dose Pareto Functions according to different criteria.
$\alpha$	[0.1, 0.6] Gy <sup>-1</sup>	From [25,83] and related authors' radiotherapy text books
$\beta$	0.0581Gy <sup>-2</sup>	From [25,83] and related authors' radiotherapy text books

### Comparative results with head and neck cancer ai-ga optimization research

AI-GA comparison with the same method for Head and Neck tumors, Figure 8 & 9. These images included here are newly-developed different imaging processing PMO results than obtained in [87]. The most significant to check the results are

the Pareto Front images, Figure 8. Figure 9 is a multifunctional image AI-GA processing for Head and Neck cancer RT treatment based on [87,91] software. Distance among individuals, score histograms, and fitness of every individual are shown, Figure 9. Maximum number of generations selected was 300-800. Running time for Figure 8 is shorter than Figure 9, both processes is from 2 to 6 minutes.



**Figure 9:** Head and Neck tumors Pareto-Optimization Multifunctional GA 2D graph. Developed with software for [87-88]. It shows Distance among individuals, Fitness of every individual, and Score Histogram. Running time is about 3-4 minutes.

### Discussion and Conclusion

The objectives of the study were graphical and numerical optimization of standard BED model for modern Lung cancer TPO. The method applied was Artificial intelligence with GA Pareto-Multi objective Optimization. It is the same technique from previous research with BED model for Breast and Head and Neck tumors TPO. Optimization was performed according to two authors' biological BED models criteria [22-25, 87-89,91]. Therefore, it constitutes a step forward to apply GA for Lung cancer TPO advances. The mathematical method applied

is Pareto-Multi objective Optimization. The advantage of 2D Multi objective Optimization compared to common one is to obtain at least two pareto functions, and the optimal choice can be got selecting the most convenient data from objective 1 and objective 2 graphs (Figure 1,8,9). Compared to Tikhonov Inverse Optimization method, that was applied in previous publications, that constitutes an advantage [21,75]. However, classical Tikhonov methods can be considered precise and useful. A useful-comprehensive simplification of the GA-PMO method was shown in Table 2. Complementary, and specifically for lung tumors, a

Biomarkers Author's proposal of modern classification based on [101,108,109].

The BED model algorithm that was selected is the standard one of the first generation. For hyperfractionation as a first step research choice [25,83]. The numerical difference has not been checked implementing further generations of BED algorithms. However, as a tentative calculus given the numerical data obtained, it seems that the numerical differences could not differ significantly from the first-generation model function. The L1 norm selected (Tikhonov L1 norm) was applied for programming simplification. In previous articles, it was found that software implementation of (Tikhonov L2) norm did not show substantial differences with L1 norm. Besides, the design of the program for L2 norm results be more complicated and does not bring important variances. Other features that the implementation of the L2 norm implies a longer running time, which is an added difficulty. First simulation shows higher number of fractions, and lower magnitude of dose per fraction than the second simulation, Table 5 & 6.

Factually, and according to recent literature [101-109], GA-PMO method is more widely used compared to Inverse Optimization with Least Squares algorithm, for example, [11-16]. Recent optimization methods tend to apply/use more frequently evolutionary algorithms and also the variants and improved GA algorithms based on successive method-generations. 3D-imaging Interior Optimization (the basic) was implemented, e.g., for superconductors modelling with acceptable numerical results in a series of publications, for example. Although 3D-IO technique is essentially a numerical imaging-processing one, the 2D GA-PMO method gives also acceptable information and dataset for the approached problem.

The software that was designed for the research is based on previous studies for applications of GA standard method . The implemented dataset is founded on the lung cancer current protocol doses and do not differ in magnitude order along the literature. As an example, these calculations boundaries and magnitude orders were modernly confirmed . Therefore, the constraints and boundaries set in programming patterns can be considered acceptable. In terms of programming running time, it was checked that the approximate average time to obtain a simple GA chart was about 2 minutes, provided that number of generations not be higher than (200, 300). If the generations number increases from 150, approximately, the running time could reach 3 or 4 minutes. The Multi graphics take an average of 2 minutes more than the simple ones.

The numerical data obtained matches the average literature dataset [99], with very low differences. Specially the recent protocols in Radiosurgery [100], coincide with the dosimetry results. When number of generations is increased, no significant changes are observed in magnitude orders for Pareto 1 and 2 Multi objective Functions. The objective of the study was not discussed between hyperfractionation versus hypofractionation.

However, according to results it is possible to comment some classical ideas about this controversial matter. There are Hyperfractionation, Hypofractionation, Hybrid Schedule and Accelerated Hyperfractionation dose delivery schedules. Hospital institutions differ in technical facilities, staff specialization, and treatment time available, therefore this question adds another influential factor for those optimal clinical-radiation oncology decisions.

Author's criterion is that all of them should be treated/considered in function of personalized RT treatment, and the most important idea is that all of them depend on multiple factors [22-25,87-89,91]. one important factor is the magnitude order of the coefficients alpha and betha for tumoral tissue at every patient, if time and hospital facilities can determine those. Hyperfractionation considers that the higher recovery capacity of late-responding normal tissues, in practical terms NTCP magnitude, is higher when contrasted with tumor-tissue. If the response of normal tissues is low, it implies that the quotient (  $\alpha/\beta$  ) has a lower magnitude order) . However, the more fractions get the schedule, the higher changes of this quotient is observed. For comparative considerations between Hyper and Hypofractionation. The dose difference between the plotting's of TCP versus dose fraction magnitude gives essential information for selecting options in dose delivery schedule.

The PMO-BED model image processing results are sharp in several imaging formats, from simple 2D graphics to multifunctional ones. These series of results can be considered acceptable, Figure 1-9, Table 3 & 4. Two simulations were presented as objective of the research, computationally designed for head and neck tumors [82-88]. It was intended to set in software precise experimental constants [22,81-88]. Therefore, 3D simulations could offer a realistic graphical and numerical dataset this type of cancers. Two different simulations with different constraints are shown and proven. Currently, biological models get a rationale experimental proven through radiobiology studies [76-80]. TCP and NTCP constitute acceptable parameters to predict patient survival time [20,87-88]. The method main advantages are graphics sharpness, low numerical residuals, demonstration of operability of this modern AI method, and contrast between two different BED criteria parameters. Inconvenient are that in literature experimental data parameters differ numerically [87-88,91]. The algorithm is based on the simplest BED model [22-25] at this stage. Running time is rather longer compared to Inverse Least Squares Optimization [1-21]. Grosso modo, Pareto Multi objective BED model was got for applied for optimization of radiotherapy BED algorithm in Lung cancer. The practical radiotherapy physics significance is an improved radiation therapy treatment for these tumors RT medical physics computational planning.

## Scientific Ethics Standards

This article shows additional results that complement previous studies and contributions, recently [87-88]. All the images are new/improved and numerical results from former publications



are extended and detailed. GA Artificial Intelligence software was developed originally by Dr Casesnoves on September 2022. Figure 8 & 9 are new but developed from software in [87,88,91]. All initial modelling equations were developed from previous researchers contributions [1-25,87-88]. This article has previous papers mathematical techniques, reviews with explanations, [1-21,75], whose use was essential to make model numerical solutions and approximations. The number of Dr Casesnoves publications at references is intended also for reader's learning and consultation. This study was carried out, and their contents are done according to the European Union Technology and Science Ethics and International Scientific Ethics norms [38,43-45].

This research was completely done by the author; the calculations, images, mathematical propositions and statements, reference citations, and text is original from the author. When a mathematical statement, proposition or theorem is presented, demonstration is always included. If any results inconsistency is found after publication, it is clarified in subsequent contributions. When a citation such as [Casesnoves, 'year'] appears, there is not vanity or intention to brag. The reason is to keep clearly the intellectual property. The article is exclusively scientific, without any commercial, institutional, academic, religious, religious-similar, non-scientific theories, personal opinions, friends and/or relatives favors, political ideas, or economical influences. When anything is taken from a source, it is adequately recognized. Ideas and some text expressions/sentences from previous publications were emphasized due to a clarification aim [38,43-45].

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